

FINANCE AGENDA

Erie County Council

www.eriecountygov.org



Jay Breneman, Chairman
Dr. Kyle Foust, Vice Chairman
Edward T. DiMattio, Jr.
Phil Fatica
André R. Horton
Fiore Leone
Carol J. Loll

July 9, 2015

4:00 p.m.
Room 114A

1. Ordinance Number , 2015, "2015 Gaming Fund Budget Supplemental Appropriation of \$84,250 and Creation of *Donations* Line Item for Summer Youth Jobs Program"
Page 3
2. Ordinance Number , 2015, "Authorizing The Incurring Of Nonelectoral Debt By The Issuance Of General Obligation Bonds, Series Of 2015 (The "Bonds") In The Aggregate Principal Amount Not To Exceed Twenty Six Million Five Hundred Thirty Thousand And 00/100 Dollars (\$26,530,000); Covenanting To Pay And Pledging Unlimited Taxing Power For The Payment Of The Bonds; Establishing A Sinking Fund And Appointing A Paying Agent, Sinking Fund Depository And Registrar In Respect Of The Bonds; Fixing The Form, Interest Rate, Maturity, Redemption And Other Provisions For The Payment Thereof; Accepting A Proposal For The Purchase Of The Bonds; Authorizing The Filing Of Required Documents With The Department Of Community And Economic Development; Ratifying And Directing Certain Actions Of Officers; Approving The Official Statement; And Making Certain Other Covenants And Provisions In Respect Of The Bonds."
Page 11
3. Resolution Number 36, 2015, "In Support of the Designation and Development of A National Marine Sanctuary in the Pennsylvania Waters of Lake Erie, Including Presque Isle Bay" (Tabled 6-18-15)
Page 45
4. Ordinance Number , 2015, "2015 General Fund Budget Supplemental Appropriation Of \$33,500 And Creation Of Line Items For Vehicle Donation To DA's Office From Western PA Fugitive Task Force"
Page 51
5. Ordinance Number , 2015, "2015 Library Fund Budget Supplemental Appropriation Of \$82,065 And Creation Of Vehicle Line Item For District Services"
Page 56
6. Ordinance Number , 2015, "2015 Children & Youth Services Fund Budget Revised Expenditures Of \$200,010 And Creation Of Court Ordered Placement Line Items"
Page 60
7. Ordinance Number , 2015, "2015 Public Health Fund Budget Supplemental Appropriation Of \$3,744 And Creation Of *Training* Line Item For Tuberculosis Grant Bureau"
Page 63

8. Ordinance Number , 2015, "2015 Public Health Fund Budget Supplemental Appropriation Of \$16,538 For Safe & Healthy Communities Grant Bureau"
Page 67
9. Ordinance Number , 2015, "2015 Public Health Fund Budget Supplemental Appropriation Of \$1,353 From Funding Reduction In The Immunization Grant Bureau"
Page 70
10. Appointment of Stephen A. Schillo to a five-year term on the Erie Metropolitan Transit Authority. (CE)
Page 75
11. Sale of Parcels from Repository for Unsold Property at Index Numbers:
05-021-104.0-002.00
07-012-047.0-001.00
07-012-047.1-006.00
Page 80
12. Analysis of General Fund Unassigned Fund Balance.
Page 92

ORDINANCE NUMBER , 2015

**_____ 2015 Gaming Fund Budget Supplemental Appropriation \$84,250 and Creation of
Donations Line Item for Summer Youth Jobs Program**

BE IT ENACTED by the County Council of the County of Erie pursuant to Article II Section 3B (1), Article VIII, Section 8 of the Erie County Home Rule Charter; certified by the County Executive, that this 2015 Gaming Fund Supplemental Appropriation of \$84,250 and creation of *Donations* line item, are hereby approved as outlined on the attached Exhibit A.

This ordinance shall be effective immediately upon adoption.

COUNTY COUNCIL OF THE
COUNTY OF ERIE, PENNSYLVANIA

Attest:

Douglas R. Smith
County Clerk

Fiore Leone, Chairman

Date: _____

Phil Fatica, Vice Chairman

Jay Breneman

Approved by:

Edward T. DiMattio, Jr.

Kathy Dahlkemper,
County Executive

Dr. Kyle Foust

Date: _____

André R. Horton

Carol J. Loll

**Exhibit A
 2015 Gaming Fund Budget
 Supplemental Appropriation of \$84,250
 and Creation of a New Line Item For
 the Youth Summer Jobs Program**

| <u>Account Title</u> | <u>Account Number</u> | <u>Amount</u> |
|---------------------------|-----------------------|------------------------|
| <u>Total Revenue</u> | | |
| Donations | 067-021015-098580* | (66,000) |
| Fund Balance Appropriated | 067-021010-099500 | (18,250) |
| Total Revenue | | <u><u>(84,250)</u></u> |
| <u>Total Expenditures</u> | | |
| Youth Summer Jobs Program | 067-021015-006230 | 84,250 |
| Total Expenditures | | <u><u>84,250</u></u> |

*Denotes Creation of a New Line Item



COUNTY OF ERIE

OFFICE OF COUNTY EXECUTIVE

Kathy Dahlkemper
COUNTY EXECUTIVE

MEMORANDUM

TO: County Council
County of Erie

FROM: Kathy Dahlkemper
County Executive

DATE: July 1, 2015

SUBJECT: Request for Additional Funding for 2015 Erie Summer Jobs and More (JAM) Program

Due to the overwhelming demands for the 2015 Erie Summer Jobs and More (JAM) Program, I am requesting county council consider providing additional funding of \$18,250.00 towards the program.

The initial proposal was covering a total of 125 participants. The new participant numbers are 160 youth in job readiness sessions, and 140 youth in work assignments with county employers.

The program did receive funding from the Erie County Gaming Revenue Authority, Erie Community Foundation, GE Transportation, and National Fuel. However, there is an overall budget deficit of \$36,500.00 due to the increase in the number of youth participating in the program.

I am requesting the County of Erie contribute \$18,250.00 and Erie County Gaming Revenue Authority contribute \$18,250.00 to cover the increased cost.

Please review the revised budget for the program.

Erie County Courthouse ● 140 West Sixth Street ● Suite 504 ● Erie, Pennsylvania 16501

PHONE (814) 451-6333 ● FAX (814) 451-6334 ● TOLL FREE 1 (800) 352-0026 ● TDD (814) 451-6237

YOUTH SUMMER JOBS PROGRAM

| | |
|-----------------------------------|------------------------|
| Erie County | 100,000 |
| ECGRA | 100,000 |
| Erie Community Foundation | 50,000 |
| General Electric | 15,000 |
| National Fuel | 1,000 |
| Total Funds Available for Program | <u>266,000</u> |
| Cost of Program | (302,500) |
| Additional Funds Needed | <u><u>(36,500)</u></u> |
| Additional County Portion | 18,250 |
| Additional ECGRA Portion | 18,250 |

Deliverable #1: Informational Sessions

| Staffing | Rate | Hours | Total |
|---------------------------|---------------------------------|-------|-----------------|
| Lead Coordinator | 15.41 | 75 | \$ 1,155.75 |
| Employment Coordinator #1 | 11.26 | 37.5 | \$ 422.25 |
| Employment Coordinator #2 | 11.26 | 37.5 | \$ 422.25 |
| | 7.65% FICA, 7.2152% UC, 3.1% WC | | \$ 2,000.25 |
| | | Taxes | 0.179652 |
| | | | \$ 359.35 |
| Total Wage/Tax | | | \$ 2,360 |

Logistics

| Travel | Rate | Miles | Total |
|------------------------|------|-------|--------------|
| Employment Coordinator | 0.45 | 300 | \$135 |
| Employment Coordinator | 0.45 | 56 | \$25 |
| Lead Coordinator | | 0 | \$0 |
| Total Logistics | | | \$160 |

Facility

| | | | |
|------------------------|-------|---|--------------|
| CareerLink room rental | \$100 | 3 | \$300 |
| Total Facility | | | \$300 |

Materials

| | | | |
|------------------------|------|---|-------------|
| Paper | \$35 | 1 | \$35 |
| Total Materials | | | \$35 |

Administrative

| | | | |
|-----------------------------|--|--|--------------|
| 8% of wages & benefits | | | \$189 |
| Total Administrative | | | \$189 |

Grand Total Deliverable #1 \$ 3,045

Deliverable #2: Program Website

| | | | |
|-----------------------------------|--|--|------------|
| Website Development | | | \$0 |
| Subcontract | | | \$0 |
| Grand Total Deliverable #2 | | | \$0 |

Deliverable #3: Orientation and Training Sessions

| Staffing | Rate | Hours | Total |
|---------------------------|---------------------------------|-------|-----------------|
| Lead Coordinator | 15.41 | 180 | \$ 2,773.80 |
| Employment Coordinator #1 | 11.26 | 158 | \$ 1,779.08 |
| Employment Coordinator #2 | 11.26 | 158 | \$ 1,779.08 |
| | 7.65% FICA, 7.2152% UC, 3.1% WC | | \$ 6,331.96 |
| | | Taxes | 0.179652 |
| | | | \$ 1,137.55 |
| Total Wage/Tax | | | \$ 7,470 |

Logistics

| Travel | Rate | Miles | Total |
|------------------------|------|-------|--------------|
| Employment Coordinator | 0.45 | 300 | \$135 |
| Employment Coordinator | 0.45 | 78 | \$35 |
| Program Coordinator | 0.45 | 0 | \$0 |
| Total Logistics | | | \$170 |

Soft Skills Training

140-160 youth

| Work Readiness | Participants | Rate | |
|--------------------------------|--------------|------|-----------------|
| Young Entrepreneurship Society | 160 | \$75 | \$12,000 |
| Stipends for completion | 160 | \$50 | \$8,000 |
| Total Soft Skills | | | \$20,000 |

| Materials | Participants | Rate | |
|------------------------|--------------|------|----------------|
| O-Net tests | 57 | 10 | \$572 |
| JSAI tests | 58 | 10 | \$582 |
| Paper | 35 | 1 | \$35 |
| Total Materials | | | \$1,189 |

| | | | |
|-----------------------------|--|--|--------------|
| Total Administrative | | | \$598 |
|-----------------------------|--|--|--------------|

Grand Total Deliverable #3 \$ 29,427

| Deliverable #4: Employment | Participants | Rate** | Hours | Total |
|----------------------------|--------------|--------|-------|-------|
|----------------------------|--------------|--------|-------|-------|

**Rate includes \$7.25 per hour x 7.65% FICA and 3.1% WC

| | | | | |
|------------------------------|-----|--------|-----|---------------------|
| Work Experiences | 140 | \$8.03 | 180 | \$202,356.00 |
| Total Work Experience | | | | \$202,356.00 |

7.65% FICA, 7.2152% UC, 3.1% WC

Taxes \$ 4,169.09
Total Wage/Tax \$ 27,376

| Logistics | Rate | Miles | Total |
|-----------|------|-------|-------|
|-----------|------|-------|-------|

| | | | |
|------------------------|------|------|----------------|
| Travel | | | |
| Employment Coordinator | 0.45 | 1000 | \$450 |
| Employment Coordinator | 0.45 | 1000 | \$450 |
| Employment Coordinator | 0.45 | 1000 | \$450 |
| Employment Coordinator | 0.45 | 0 | \$0 |
| Program Coordinator | 0.45 | 0 | \$0 |
| Total Logistics | | | \$1,350 |

| | | | |
|-------------------------|-------|---|----------------|
| Facility | | | |
| CareerLink space rental | \$600 | 3 | \$1,800 |
| Total Facility | | | \$1,800 |

| | | | |
|-----------------------------|--|--|-----------------|
| Administrative | | | |
| 8% of wages & benefits | | | \$18,379 |
| Total Administrative | | | \$18,379 |

Grand Total Deliverable #4 \$251,260

| Deliverable #5: Second Week Evaluation | Rate | Hours | Total |
|--|------|-------|-------|
|--|------|-------|-------|

| | | | |
|------------------|----|------|------------------|
| Staffing | | | |
| Lead Coordinator | 17 | 37.5 | \$ 637.50 |
| Total | | | \$ 637.50 |

140-160 youth

Through 6/6

| | | | | |
|---------------------------|-------|---|----|---|
| Employment Coordinator #1 | 11.26 | 0 | \$ | - |
| Employment Coordinator #2 | 11.26 | 0 | \$ | - |

| | | | | |
|---------------------------------|--|--|----|----------|
| 7.65% FICA, 7.2152% UC, 3.1% WC | | | \$ | 637.50 |
| Taxes | | | \$ | 0.179652 |
| | | | \$ | 114.53 |

| | | | | |
|------------------|--|--|-----------------------|---------------|
| Logistics | | | Total Wage/Tax | \$ 752 |
|------------------|--|--|-----------------------|---------------|

| | | | | |
|------------------------|-------------|--------------|------------------------|--------------|
| Travel | Rate | Miles | | |
| Employment Coordinator | 0.45 | 0 | \$0 | |
| Employment Coordinator | 0.45 | 0 | \$0 | |
| Program Coordinator | 0.45 | 370 | \$167 | |
| | | | Total Logistics | \$167 |

| | | | | |
|-------------------|--|--|-------------------------|----------------|
| Evaluation | | | Total Evaluation | \$1,000 |
|-------------------|--|--|-------------------------|----------------|

| | | | | |
|-----------------------|--|--|-----------------------------|-------------|
| Administrative | | | Total Administrative | \$60 |
|-----------------------|--|--|-----------------------------|-------------|

| | |
|-----------------------------------|-----------------|
| Grand Total Deliverable #5 | \$ 1,979 |
|-----------------------------------|-----------------|

Deliverable #6: Mid-Term Check-in Evaluation

| | | | |
|---------------------------|-------------|--------------|--------------|
| Staffing | Rate | Hours | Total |
| Lead Coordinator | | 17 | \$ 1,275.00 |
| Employment Coordinator #1 | | 11.26 | \$ - |
| Employment Coordinator #2 | | 11.26 | \$ - |

| | | | |
|---------------------------------|--|--|-------------|
| 7.65% FICA, 7.2152% UC, 3.1% WC | | | \$ 1,275.00 |
| Taxes | | | 0.179652 |
| | | | \$ 229.06 |

| | |
|-----------------------|-----------------|
| Total Wage/Tax | \$ 1,504 |
|-----------------------|-----------------|

| | | | |
|------------------------|-------------|--------------|------------------------|
| Logistics | Rate | Miles | |
| Travel | 0.45 | 0 | \$0 |
| Employment Coordinator | 0.45 | 0 | \$0 |
| Program Coordinator | 0.45 | 370 | \$167 |
| | | | Total Logistics |

| | |
|------------------------|--------------|
| Total Logistics | \$167 |
|------------------------|--------------|

| | | | | |
|-----------------------|--|--|-----------------------------|--------------|
| Administrative | | | Total Administrative | \$120 |
|-----------------------|--|--|-----------------------------|--------------|

| | |
|-----------------------------------|-----------------|
| Grand Total Deliverable #6 | \$ 1,791 |
|-----------------------------------|-----------------|

Deliverable #7: Program Evaluation

| | | | | |
|---------------------------|--|--|-----------------------------------|-----------------|
| Program Evaluation | | | Grand Total Deliverable #7 | \$ 7,500 |
|---------------------------|--|--|-----------------------------------|-----------------|

| | | | | |
|--|--|--|-----------------------------------|-----------------|
| Deliverable #8: Longitudinal Follow-Up Report | | | Grand Total Deliverable #8 | \$ 7,500 |
|--|--|--|-----------------------------------|-----------------|

| | |
|-----------------------------------|-----------------|
| Grand Total Deliverable #8 | \$ 7,500 |
|-----------------------------------|-----------------|

| | |
|---------------------|-------------------|
| Total Budget | \$ 302,500 |
|---------------------|-------------------|



The Erie
Community
Foundation

459 West 6th Street
Erie, PA 16507
(814) 454-0843
www.ErieCommunityFoundation.org

PNC BANK, N.A.

60-73/433

41948

05/11/2015

Helping today...Shaping tomorrow.

* Fifty Thousand and no/100 *

PAY
TO
THE
ORDER
OF

County of Erie
140 West 6th St. Suite# 504
Erie, PA 16501

*****50,000.00

Barbara Lombardi

AUTHORIZED SIGNATURE

⑈041948⑈ ⑆043300738⑆ 6099277313⑈



The Erie Community Foundation

41948

Youth Summer Jobs Program

067-099000 - 011250

May 11, 2015 *

(will need to be reclassified after passage of ordinance)

Mr. Gary Lee
County of Erie
140 West 6th St. Suite# 504
Erie, PA 16501

Dear Gary:

On behalf of The Erie Community Foundation, I am pleased to send the attached \$50,000.00 check as payment of your "Helping Today" grant. Each year The Foundation receives many more requests than it is able to fund. I am pleased that we are able to support this worthwhile project.

This grant is made possible by local donors, past and present who have established unrestricted endowments within The Erie Community Foundation.

According to your grant agreement, you will be asked to complete evaluation reports documenting the results or progress of this project. As always, The Foundation appreciates any publicity that might result from this award. We appreciate the good work being done by you and your organization.

Sincerely,
[Signature]
Michael L. Batchelor
President

MLB/mo

ORDINANCE NUMBER __-2015

**AN ORDINANCE OF
THE COUNTY OF ERIE, PENNSYLVANIA
ENACTED _____, 2015**

AUTHORIZING THE INCURRING OF NONELECTORAL DEBT BY THE ISSUANCE OF GENERAL OBLIGATION BONDS, SERIES OF 2015 (THE "BONDS") IN THE AGGREGATE PRINCIPAL AMOUNT NOT TO EXCEED TWENTY SIX MILLION FIVE HUNDRED THIRTY THOUSAND AND 00/100 DOLLARS (\$26,530,000); COVENANTING TO PAY AND PLEDGING UNLIMITED TAXING POWER FOR THE PAYMENT OF THE BONDS; ESTABLISHING A SINKING FUND AND APPOINTING A PAYING AGENT, SINKING FUND DEPOSITORY AND REGISTRAR IN RESPECT OF THE BONDS; FIXING THE FORM, INTEREST RATE, MATURITY, REDEMPTION AND OTHER PROVISIONS FOR THE PAYMENT THEREOF; ACCEPTING A PROPOSAL FOR THE PURCHASE OF THE BONDS; AUTHORIZING THE FILING OF REQUIRED DOCUMENTS WITH THE DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT; RATIFYING AND DIRECTING CERTAIN ACTIONS OF OFFICERS; APPROVING THE OFFICIAL STATEMENT; AND MAKING CERTAIN OTHER COVENANTS AND PROVISIONS IN RESPECT OF THE BONDS.

The County Council of the County of Erie, Pennsylvania, pursuant to the Local Government Unit Debt Act, 53 Pa. Cons. Stat. §8001 et seq., as amended (the "Debt Act") and Article II, § 1(C)(1) of the Home Rule Charter for the County of Erie hereby ORDAINS AND ENACTS the following Debt Ordinance:

ARTICLE 1 -- DEFINITIONS

Unless the context clearly indicates otherwise, the terms defined in the preamble hereto shall have the meanings assigned therein to such terms and the following terms shall, for all purposes of this Debt Ordinance, have the meanings hereby ascribed to them. The word "person," in addition to natural persons, shall mean and include corporations, associations and public bodies and their successors unless the context shall indicate otherwise.

"Authentication Date" shall mean that date, or those dates individual to respective Bonds, upon which the Sinking Fund Depository shall have executed and delivered a new and original instrument upon the transfer, exchange or other processing for registration of a Bond, thereby authenticating the same as, and to be, a valid and outstanding obligation of the County.

"Authorized Investments" means: (a) as to the proceeds of the Bonds: (i) United States Treasury bills; (ii) short-term obligations of the United States Government or its agencies or instrumentalities; (iii) deposits in savings accounts or time deposits or share accounts of institutions (including the Sinking Fund Depository) insured by the Federal Deposit Insurance Corporation, Federal Savings & Loan Insurance Corporation, or National Credit Union Share Insurance Fund, to the extent that such accounts are so insured, and, for any amounts above the insured maximum, if approved collateral as provided by law is pledged by the depository (including collateral pooled in accordance with the Act of August 6, 1971, P.L. 281, No. 72, relating to pledges of assets to secure deposits of public funds); and (iv) obligations of the United States of America or any of its agencies or instrumentalities backed by the full faith and credit of the United States of America, the Commonwealth of Pennsylvania or any of its agencies or instrumentalities backed by the full faith and credit of the Commonwealth, or of any political subdivision of the Commonwealth of Pennsylvania or any of its agencies or instrumentalities backed by the full faith and credit of the political subdivision; and (b) as to moneys at any time on deposit in the Sinking Fund: (i) obligations which are direct obligations of, or are fully guaranteed as to principal and interest by, the United States of America; (ii) direct general obligations of the Commonwealth of Pennsylvania, or in any securities in which the Commonwealth may, at such time, invest its moneys; or (iii) deposits at interest in time accounts, certificates of deposit or other interest bearing accounts of any bank, bank and trust company (including the Sinking Fund Depository), savings bank, savings and loan association or building and loan association. The authorization set forth above for investment in obligations of the United States of America includes money market funds invested solely in such obligations, including any such funds maintained by the Sinking Fund Depository. To the extent that any such deposits described in (b)(iii) above are insured by the Federal Deposit Insurance Corporation or similar Federal agency, they need not be secured. Otherwise, such deposits must be secured as public deposits or as trust funds. However, in all events the investments must be made in a manner consistent with sound business practice and, if required for prompt expenditure, must be held in demand deposits. In the event, from time to time, and to the extent such investments may periodically require valuation, their value is to be determined on the following bases (and if more than one basis applies, according to the lowest of them): (a) as to investments the bid and asked prices of which are published on a regular basis in The Wall Street Journal -- the arithmetic mean of the bid and asked prices for such investments so published on or immediately prior to such time of determination; (b) as to investments the bid and asked prices of which are not published on a regular basis in The Wall Street Journal -- the average bid price established for such investments by any

three nationally recognized government securities dealers at the time making a market in such investments or the average bid price published by a nationally recognized pricing service; and (c) as to time deposits, certificates of deposit and bankers' acceptances -- the face amount thereof, plus accrued interest.

"Bond Counsel" shall mean MacDonald Illig Jones & Britton, LLP, 100 State Street, Suite 700, Erie, Pennsylvania 16507.

"Bonds" shall mean the County of Erie, Commonwealth of Pennsylvania, General Obligation Bonds, Series of 2015 (or such other designations as may be appropriate), not to exceed the aggregate principal amount of \$26,530,000 which are hereinafter authorized to be issued, sold and delivered for purposes of undertaking the Project and which constitute instruments imposing an obligation upon the County for the repayment of money borrowed. The Bonds will be printed substantially in the form provided in Section 4.13 and will fall within the definition of "Security" set forth in, and otherwise will be governed by, Article 8 of the Uniform Commercial Code, to the extent permitted by, and consistent with, the Debt Act. Such term may include a single Bond or several Bonds.

"Clearing Fund" shall mean the demand deposit account established by the County with the Sinking Fund Depository for the purpose of facilitating settlement of the Bonds.

"County" shall mean the County of Erie, Pennsylvania.

"County Executive" shall mean the duly elected County Executive of the County.

"Dated Date" means that date or those dates, as the same may vary by series, upon which interest will begin to accrue on the Bonds, as determined and fixed by the Purchaser in the applicable Purchase Proposal.

"Debt Ordinance" shall mean this document, being the formal action taken by the County according to the requirements of Section 8103 of the Debt Act in order to authorize and incur the debt represented by the Bonds.

"Department" shall mean the Pennsylvania Department of Community and Economic Development, or its successor.

"DTC" shall mean The Depository Trust Company, New York, New York, the securities depository for the Bonds, and its successors.

"Finance Director" shall mean the duly appointed Finance Director of the County.

"First Interest Payment Date" shall mean that date upon which interest on the Bonds is first payable, as determined and fixed by the County and the Purchaser pursuant to the Purchase Proposal.

"Governing Body" shall mean the County Council of the County.

“Interest Payment Date” or “Interest Payment Dates” shall mean, singularly or jointly, March 1 and September 1 of each year during the term of the Bonds, commencing with the First Interest Payment Date.

“Mandatory Redemption Date” or “Mandatory Redemption Dates” means that date or those dates, if any, as the same may vary by series, of each year during the term of the Bonds, on which outstanding Bonds will be mandatorily redeemed by the County in accordance with the terms of the Bonds and the Purchase Proposal.

“Maturity Date” or “Maturity Dates” means those dates on which the Bonds mature in accordance with their terms, as determined and fixed by the County and the Purchaser pursuant to the Purchase Proposal.

“Optional Redemption Date” means that first date, as the same may vary by series, on which the outstanding Bonds may be redeemed, in whole or in part, at the option of the County, as determined and fixed by the County and the Purchaser pursuant to the Purchase Proposal.

“Paying Agent” shall mean The Bank of New York Mellon Trust Company, N.A., having corporate trust offices at 525 William Penn Place, 38th Floor, Pittsburgh, Pennsylvania 15259, and its successors. The Paying Agent shall perform the duties specified by this Debt Ordinance and contained in the Debt Act, and shall further act as Paying Agent, Sinking Fund Depository and registrar in respect of the Bonds, all according to the provisions of this Debt Ordinance, the Debt Act, the Paying Agent Agreement, and in compliance at all times with then current industry standards and practices.

“Paying Agent Agreement” shall mean the agreement to be executed between the County and The Bank of New York Mellon Trust Company, N.A., pertaining to the duties as Paying Agent, Sinking Fund Depository and registrar of the Bonds.

“Project” shall mean, collectively, (a) all costs related to engineering, design, bidding and construction of such capital projects as the County may designate, including but not limited to a public safety radio system; and (b) the payment of the necessary and proper costs of incurring indebtedness, including the cost of issuance and costs of sale in respect of the foregoing.

“Purchase Price” shall mean no less than 98.00% of the principal amount of the Bonds, plus net original issue premium, minus net original issue discount, together with accrued interest to the date of delivery of the Bonds.

“Purchase Proposal” shall mean the initial proposal of even date submitted to the County by the Purchaser, together with the written agreement for the purchase of the Bonds, to be presented by the Purchaser, and accepted by the County.

“Purchaser” shall mean Piper Jaffray & Co., 2525 East Camelback Road, Suite 925, Phoenix, Arizona 85016.

“Record Date” or “Record Dates” shall mean, singularly or jointly, February 15 and August 15 of each year during the term of the Bonds, as each such date precedes a respective Interest Payment Date.

“Redemption Price” shall mean 100% of the principal amount thereof, together with accrued interest to the date fixed for redemption.

“Registered Owner” or “Registered Owners” shall mean, singularly or jointly, the owner of the Bonds as appearing on the register kept by the Sinking Fund Depository, in its capacity as registrar of the Bonds.

“Sinking Fund” shall mean the sinking fund created pursuant to Section 3.02 of this Debt Ordinance in accordance with Section 8221 of the Debt Act.

“Sinking Fund Depository” shall mean The Bank of New York Trust Company, N.A., having corporate trust offices at 525 William Penn Place, 38th Floor, Pittsburgh, Pennsylvania 15259 and its successors. The Sinking Fund Depository shall perform the duties specified by this Debt Ordinance and contained in the Debt Act, and shall further act as Paying Agent and registrar in respect of the Bonds, all according to the provisions of this Debt Ordinance, the Debt Act, the Paying Agent Agreement, and in compliance at all times with then current industry standards and practices.

“Term Bonds” means that one or those several sets of Bonds, if any, stated to mature on a date certain, but which shall be mandatorily redeemed on specified anniversary dates in certain years preceding the date of maturity, as designated and fixed by the County and the Purchaser in the Purchase Proposal.

ARTICLE 2 -- AUTHORIZATION OF DEBT

Section 2.01. Incurrence; Purpose; Project Completion Date. The County does hereby authorize and direct the incurrence of non-electoral debt for the purposes of the Project in an amount not to exceed the aggregate principal amount of \$26,530,000; such debt shall be evidenced by the Bonds, which shall be issued, sold and delivered according to the provisions of this Debt Ordinance and the Debt Act as general obligations of the County. Realistic cost estimates for the Project have been obtained by the County from persons qualified by experience, as required by Section 8006 of the Debt Act, which cost estimates are not less than the principal amount of the indebtedness authorized hereunder. The indebtedness to be incurred by the County hereunder is necessary for the completion of the Project. The realistic estimated useful life of the Project is in excess of 25 years. The County hereby reserves the right to undertake components of the Project in such order and at such time or times as it shall determine and to allocate the proceeds of the Bonds and other available moneys to the final costs of the Project in such amounts and order of priority as it shall determine; but the proceeds of the Bonds shall be used solely to pay the costs of the Project, as required by the Debt Act. The estimated completion date of the Project is March 31, 2018. Nothing contained herein shall prohibit the County, under proper enactment of an ordinance and compliance with all provisions of law, from amending, adding to, subtracting from, substituting for or otherwise altering the Project.

Section 2.02. Preparation of Debt Statement. The Finance Director (or his successor acting by reason of absence or incapacity) is hereby authorized and directed to prepare a debt statement of the County, including therewith a certification of the County's borrowing base, and to verify the same under oath or affirmation, all according to the requirements of the Debt Act.

Section 2.03. Proceedings Before the Department. Either the County Executive or the Finance Director (or their successors acting by reason of absence or incapacity) is hereby authorized and directed to prepare, execute and file with the Department all proceedings of the County relative to this incurrence of debt and to respond to all inquiries or requests and to perform all other actions necessary to enable the Department to certify its approval to issue, sell and deliver the Bonds.

Section 2.04. Stated Maturity Dates. The County hereby finds and determines that: (i) the Bonds are to be issued with: (1) a final stated maturity date which does not exceed the sooner to occur of forty years or the useful life of the Project; and (2) an initial stated installment or maturity of principal which has not been deferred beyond the later of two years from the date of issuance of the Bonds or one year following the date of expected completion of the Project; and (ii) the stated maturities, or principal installments subject to mandatory redemption, of the Bonds have been fixed either: (1) so as to amortize the Bonds on at least an approximately level debt service plan during the period specified for the payment of principal under Section 8142(b) of the Debt Act; or (2) in the alternative, in the event that a Schedule B has been properly completed and is attached to this Debt Ordinance and thereby incorporated by reference herein, so that the debt service on outstanding debt of the County (being the Bonds, together with the other outstanding debt of the County) will be brought more nearly into an over-all level annual debt service plan as set forth on such Schedule B.

ARTICLE 3 -- SECURITY FOR DEBT; SINKING FUND

Section 3.01. General Obligation Covenant. The Bonds shall be general obligations of the County. The County hereby covenants with the owners of the Bonds from time to time to: (a) include in its budget for each year the amount necessary to service the debt on the Bonds for such fiscal year in which such sums are payable; (b) appropriate such amounts from its general revenues to the payment of such debt service; and (c) duly and punctually pay, or cause to be paid, from its Sinking Fund or from any other of its revenues or funds, the principal of and the interest on the Bonds on the dates, at the place, and in the manner stated in the Bonds, all according to the true intent and meaning thereof. For such budgeting, appropriation and payment of the Bonds, the County hereby irrevocably pledges its full faith, credit and taxing power. The maximum amounts of debt service hereby covenanted to be paid are set forth in Schedule A attached to this Debt Ordinance and incorporated by reference herein. As provided in the Debt Act, the foregoing covenants are specifically enforceable. Notwithstanding the foregoing, nothing contained herein shall prohibit or restrain the authorization, issuance, sale or delivery of additional general obligation bonds or notes of the County on parity with the Bonds upon adoption of an appropriate covenant to budget, appropriate and pay additional taxes and other revenues and funds for the payment and security of such additional obligations.

Section 3.02. Creation of Sinking Fund; Authorization of Paying Agent Agreement. The County hereby creates the Sinking Fund for the payment of the Bonds, orders the establishment of the Sinking Fund to be held in the name of the County with the Sinking Fund Depository and identified by reference to the Bonds and covenants to maintain the Sinking Fund until the Bonds are paid in full. The County Executive (or the Finance Director acting by reason of the County Executive's absence or incapacity) is hereby authorized and directed to execute and deliver the Paying Agent Agreement in such form and with such terms and conditions as may be approved by such officer, with the advice of Bond Counsel, in order to provide for the services of the Sinking Fund Depository, Paying Agent and registrar for the Bonds. The execution of the Paying Agent Agreement shall be conclusive evidence of such approval, and the County Clerk (or the Finance Director acting by reason of the County Clerk's absence or incapacity) is hereby authorized and directed to affix thereto the corporate seal of the County and to attest the same.

Section 3.03. Deposits into Sinking Fund. The County covenants with the owners of the Bonds to withdraw from the general fund (or in the event debt service charges have been capitalized, from any Project fund hereafter established with the proceeds of the Bonds) and to deposit into the Sinking Fund on or before the appropriate Interest Payment Dates (or as and when otherwise due by the terms of the Bonds and in order to provide same-day, available funds for timely payment), amounts sufficient to pay on each such Interest Payment Date as set forth in Section 3.01 hereof the interest on the Bonds then outstanding, and the principal of the Bonds then maturing or subject to mandatory redemption. Either the County Executive or the Finance Director (or their successors acting by reason of absence or incapacity) is hereby authorized to make such withdrawals and deposits for the purposes herein set forth (according to the duties specified in Section 8223 of the Debt Act).

Section 3.04. Investment of Sinking Fund. All moneys in the Sinking Fund not required for prompt expenditure may be invested in Authorized Investments as permitted by Section 8224 of the Debt Act in accordance with written instructions of either the County Executive or the Finance Director (or their successor acting by reason of absence or incapacity), or in accordance with their telephonic instructions if subsequently confirmed in writing according to the reasonable practices and requests of the Sinking Fund Depository. Authorized Investments must mature or be subject to redemption, withdrawal or collection in their full amount at the option of the Sinking Fund Depository not later than the date upon which moneys are required to be paid to owners of the Bonds. All moneys in the Sinking Fund shall be applied exclusively to the payment of the Bonds as the same from time to time become due and payable. All moneys deposited into the Sinking Fund and all investments and proceeds thereof shall be subject to a perfected security interest for the benefit of the owners of the Bonds. Income received from any deposit or investment within the Sinking Fund shall remain within and be a part of the Sinking Fund and all such amounts may be applied in reduction or completion of any amount covenanted under Section 3.01 hereof to be deposited therein; provided, however, that the obligation of the County to pay the Bonds is and shall remain absolute and may not be satisfied or reduced merely by the deposit of moneys into the Sinking Fund or from the expectation of earnings thereon.

ARTICLE 4 -- TERMS AND PROVISIONS OF THE BONDS

Section 4.01. Dates, Maturity Amounts and Interest Rates. The Bonds shall be identified by the Dated Date, shall be dated as of the Authentication Date, shall bear (or accrue) interest at the rates (or yields) payable (or compounded) on the appropriate Interest Payment Dates, and shall mature on the Maturity Dates (or be redeemed, mandatorily, on the appropriate Mandatory Redemption Dates, as provided in Section 4.03) and in the principal amounts (or maturity amounts) set forth in Section 3.01. The interest rates and principal amounts provided in Schedule A constitute maximum interest rates and principal amounts per maturity. The final interest rates and principal amounts with respect to the Bonds will be determined and fixed by the County and the Purchaser in accordance with the terms of the Purchase Proposal. For this purpose, the County Executive (or the Finance Director acting in the absence or incapacity of the County Executive), is hereby authorized and directed to fix the final interest rates and principal amounts of the Bonds.

Section 4.02. Optional Redemption. The Bonds scheduled to mature on a date after the Optional Redemption Date may be redeemed prior to maturity at the option of the Local Government Unit (a) in whole, on the Optional Redemption Date, if any, or on any date thereafter, or (b) in part, from time to time, on the Optional Redemption Date or on any date thereafter, by lot within a maturity, upon payment of the applicable Redemption Price.

Section 4.03. Mandatory Redemption. Term Bonds, if any, shall be redeemed prior to maturity by the Sinking Fund Depository without further authorization on the appropriate Mandatory Redemption Dates and in the appropriate principal amounts set forth in Article 1 and in Section 3.01 hereof upon payment of the applicable Redemption Price.

Section 4.04. Book-Entry Only System.

(a) The Bonds will be issued in the form of one fully registered bond for the aggregate principal amount of the Bonds of each maturity, which Bonds will be registered in the name of Cede & Co., as nominee of DTC. Initially, all of the Bonds will be registered in the name of Cede & Co., as nominee of DTC; provided that if DTC requests that the Bonds be registered in the name of a different nominee, the Sinking Fund Depository must exchange all or any portion of the Bonds for an equal aggregate principal amount of Bonds registered in the name of such nominee of DTC. No person other than DTC or its nominee is entitled to receive from the County or the Sinking Fund Depository either a Bond or any other evidence of ownership of the Bonds, or any right to receive any payment in respect thereof unless DTC or its nominee transfers record ownership of all or any portions of the Bonds on the Register (as such term defined in Section 4.08), in connection with discontinuing the book entry system.

(b) So long as the Bonds or any portion thereof are registered in the name of DTC or any nominee thereof, all payments of the principal or redemption price of or interest on such Bonds will be made to DTC or its nominee in immediately available funds on the dates provided for such payments in this Debt Ordinance. Each such payment to DTC or its nominee will be valid and effective to discharge fully all liability of the County or the Sinking Fund Depository with respect to the principal or redemption price of or interest on the Bonds to the

extent of the sum or sums so paid. In the event of the redemption of less than all of the Bonds outstanding of any maturity, the Sinking Fund Depository will not require surrender by DTC or its nominee of the Bonds so redeemed, but DTC (or its nominee) may retain such Bonds and make an appropriate notation on the Bond certificate as to the amount of the partial redemption provided that DTC must deliver to the Sinking Fund Depository, upon request, a written confirmation of the partial redemption and thereafter the records maintained by the Sinking Fund Depository will be conclusive as to the amount of the Bonds of such maturity which have been redeemed.

(c) The County and the Sinking Fund Depository may treat DTC (or its nominee) as the sole and exclusive owner of the Bonds registered in its name for the purposes of payment of the principal or redemption price of or interest on the Bonds, selecting the Bonds or portions thereof to be redeemed, giving any notice permitted or required to be given to Registered Owners under this Debt Ordinance, registering the transfer of Bonds, obtaining any consent or other action to be taken by Registered Owners and for all other purposes whatsoever; and neither the County nor the Sinking Fund Depository will be affected by any notice to the contrary. Neither the County nor the Sinking Fund Depository will have any responsibility or obligation to any participant in DTC, any person claiming a beneficial ownership interest in the Bonds under or through DTC or any such participant, or any other person which is not shown on the Register as being Registered Owner, with respect to either (i) the Bonds; or (ii) the accuracy of any record maintained by DTC or any such participant; or (iii) the payment by DTC or any participant of any amount in respect of the principal or redemption price of or interest on the Bonds; or (iv) any notice which is permitted or required to be given to Registered Owners under this Debt Ordinance; or (v) the selection by DTC or any participant of any person to receive payment in the event of partial redemption of the Bonds; or (6) any consent given or other action taken by DTC as Registered Owner.

(d) So long as the Bonds or any portion thereof are registered in the name of DTC or any nominee thereof, all notices required or permitted to be given to the Registered Owners under this Debt Ordinance will be given to DTC as provided in the blanket representation letter delivered to DTC. In connection with any notice or other communication to be provided to Registered Owners pursuant to this Debt Ordinance by the County or the Sinking Fund Depository with respect to any consent or other action to be taken by Registered Owners, DTC will consider the date of receipt of notice requesting such consent or other action as the record date for the consent or other action, provided that the County or the Sinking Fund Depository may establish a special record date for such consent or other action. The County or the Sinking Fund Depository must give DTC notice of the special record date not less than 10 days in advance of such special record date.

(e) Any successor Sinking Fund Depository must, in its written acceptance of its duties under this Debt Ordinance, agree to take any actions necessary from time to time to comply with the requirements of the representation letter.

(f) The book-entry system for registration of the ownership of the Bonds may be discontinued at any time if either: (i) after notice to the County and the Sinking Fund Depository, DTC determines to resign as securities depository for the Bonds; or (ii) after notice to

DTC and the Sinking Fund Depository, the County determines that a continuation of the system of book-entry transfers through DTC (or through a successor securities depository) is not in the best interest of the County. In either of such events (unless in the case described in clause (ii) above, the County appoints a successor securities depository), the Bonds will be delivered in registered certificate form to such persons, and in such maturities and principal amounts, as may be designated by DTC, but without any liability on the part of the County or the Sinking Fund Depository for the accuracy of such designation. Whenever DTC requests the County and the Sinking Fund Depository to do so, the County and the Sinking Fund Depository must cooperate with DTC in taking appropriate action after reasonable notice to arrange for another securities depository to maintain custody of certificates evidencing the Bonds. The Bonds will also carry CUSIP numbers as a convenience to owners.

Section 4.05. Interest Payments; Accrual. The Bonds will bear interest, payable in arrears, initially on the First Interest Payment Date and then on each Interest Payment Date subsequent for the respective preceding period; provided, further, that interest may be paid from an Interest Payment Date next preceding the date of a Bond except when the date of such Bond is a date to which interest has been so paid, then from the date of such Bond, or when either (a) the date of such Bonds is prior to the First Interest Payment Date, or (b) no interest has been paid, then from the Dated Date.

Section 4.06. Record Date; Payments on Default. The person in whose name any Bond is registered at the close of business on any Record Date with respect to any Interest Payment Date, Redemption Date or Maturity Date will be entitled to receive the amounts payable on such payment date notwithstanding the cancellation of such Bond upon any transfer or exchange thereof subsequent to the Record Date and prior to such payment date. When, if, and to the extent, the County defaults in the payment of any amount due, any moneys collected for such payment, as and when collected from time to time, may be paid to the persons in whose names Bonds are registered at the close of business on a Special Record Date established by the Sinking Fund Depository, notice of which must be mailed to all Registered Owners of Bonds not less than ten days prior to the Special Record Date.

Section 4.07. Funds for Payment. The Bonds will be payable at the offices of the Sinking Fund Depository in the coin or currency of the United States of America that is legal tender for the payment of public and private debts at the time and place of payment; provided, however, in the absence of written demand for such payment by the Registered Owner, received by the Sinking Fund Depository not later than the Record Date, all payments of the Bonds will be made by check or draft drawn on the Sinking Fund Depository and mailed, first class, postage prepaid, to the owner at the address that appears in the Register, following presentation at the offices of the Sinking Fund Depository.

Section 4.08. Registration and Transfer.

(a) The County shall cause a register (the "Register") to be kept with the Sinking Fund Depository in its capacity as Registrar, in which Register, subject to reasonable regulations, the County shall provide for the registration of Bonds and the registration of transfers and exchanges of Bonds. No transfer or exchange of any Bond shall be valid unless

made at the office of the Sinking Fund Depository and registered in the Register. The Bonds will be initially registered in accordance with instructions submitted to the Sinking Fund Depository by the Purchaser.

(b) Upon surrender for registration of transfer of any Bond, the County must execute, and the Sinking Fund Depository will authenticate and deliver in the name of the transferee or transferees, a new Bond or Bonds of any authorized denomination, of the same yield and maturity, and in the same principal amount, as the Bond so surrendered.

(c) Any Bond shall be exchangeable for other Bonds of the same interest rate and maturity in any authorized denomination equaling in the aggregate the same principal amount as the Bond or Bonds presented for exchange. Upon the surrender of any Bond for exchange, the County shall execute, and the Sinking Fund Depository shall authenticate and deliver in exchange therefor, the new Bond or Bonds that the Registered Owner making the exchange shall be entitled to receive. All Bonds issued upon any registration of a transfer or exchange as described herein shall be valid obligations of the County, evidencing the same indebtedness and entitled to the same benefits under this Debt Ordinance as the Bonds surrendered for such registration of transfer or exchange. All Bonds so surrendered shall be cancelled and may be destroyed by the Sinking Fund Depository.

(d) Every Bond presented or surrendered for registration of transfer or exchange shall be duly endorsed or accompanied by a written instrument of transfer duly executed by the owner thereof or his duly authorized agent or legal representative, each in form and with guaranty of signature satisfactory to the County and the Sinking Fund Depository. No service charge shall be imposed on any Registered Owner for any transfer or exchange of any Bond, but the County may require payment of a sum sufficient to cover any tax or other governmental charge that may be imposed in connection with any transfer or exchange of Bonds. Neither the County nor the Sinking Fund Depository shall be required to: (i) issue, or register the transfer or exchange of, any Bond during a period of 15 business days before any date of selection of Bonds to be redeemed; or (ii) register the transfer or exchange of any Bond after it has been selected for redemption.

Section 4.09. Execution and Authentication. The Bonds shall be executed on behalf of the County by the County Executive (or by the Finance Director acting by reason of the County Executive's absence or incapacity) and shall have a facsimile of the corporate seal of the County affixed thereto and duly attested by the County Clerk. The Bonds shall be authenticated by the manual execution of a certificate of authentication by a duly authorized officer of the Sinking Fund Depository. No Bond shall be valid until such certificate of authentication shall have been duly executed and such authentication shall be the conclusive and only proof that any Bond has been issued pursuant to, and is entitled to any benefits conferred under, the provisions of this Debt Ordinance. To the extent that any one signature on a Bond (including the signature of the officer of the Sinking Fund Depository) is manual, all other signatures may be by facsimile.

Section 4.10 Notices; Selection of Bonds for Redemption.

(a) Notice of any redemption shall be given by first class mail, postage prepaid, not less than 30 nor more than 60 days prior to the applicable redemption date, to the Registered Owners of Bonds to be redeemed at the addresses which appear in the Bond register on the fifth business day preceding the date selected for the mailing of such notice. Neither failure to mail such notice nor any defect in the notice so mailed or in the mailing thereof with respect to any one Bond shall affect the validity of the proceedings for the redemption of any other Bond. If the County shall have duly given notice of redemption and shall have deposited funds for the payment of the Redemption Price of the Bonds with the Sinking Fund Depository, interest on such Bonds shall cease to accrue after such redemption date. Notices of redemption shall be dated and shall state: (i) the redemption date; (ii) the Redemption Price; (iii) if less than all outstanding Bonds are to be redeemed, the identification numbers and the respective principal amounts (or maturity amounts) of the Bonds to be redeemed; (iv) the applicable CUSIP numbers of the Bonds called for redemption (if then generally in use, but shall state that no representation is made as to the correctness of such numbers either as printed on the Bonds or as contained in the notice and that reliance may be placed only on the identification numbers printed on the Bonds); (v) that on the redemption date the Redemption Price will become due and payable upon each such Bond or portion thereof called for redemption, and that interest thereon shall cease to accrue from and after said date; and (vi) that such Bonds are to be surrendered for payment at the principal corporate trust office of the Sinking Fund Depository.

(b) If less than all Bonds maturing on any one date are to be redeemed at any time, the Sinking Fund Depository shall select by lot the Bonds to be redeemed at such time. Any portion of any Bond of a denomination larger than \$5,000 may be redeemed, but only in the principal amount (or maturity amount) of \$5,000 or any integral multiple thereof. Prior to selecting Bonds for redemption, the Sinking Fund Depository shall assign numbers to each \$5,000 portion of any Bond of a denomination larger than \$5,000 and shall treat each portion as a separate Bond in the denomination of \$5,000 for purposes of selection for redemption. Upon surrender of any Bond for redemption of a portion thereof, the Sinking Fund Depository shall authenticate and deliver to the owner thereof a new Bond or Bonds of the same maturity and in any authorized denominations requested by the owner in a principal amount (or maturity amount) equal to the unredeemed portion of the Bond surrendered.

Section 4.11. Temporary Bonds. The County may execute, and upon request the Sinking Fund Depository, shall authenticate and deliver in lieu of definitive bonds one or more printed, lithographed or typewritten bonds substantially in the form described below in Section 4.13 with appropriate omissions, variations and insertions. Until exchanged for bonds in definitive form, such bonds shall be valid obligations entitled to the benefit of this Debt Ordinance. The County may prepare, execute and deliver to the Sinking Fund Depository, and thereupon, upon the presentation and surrender of any Bond or Bonds in temporary form, the Sinking Fund Depository shall authenticate and deliver, in exchange therefor, a bond or bonds in definitive form of the same maturity for the same aggregate principal amount as the bond or bonds in temporary form surrendered. Such exchange shall be made by the County at its own expense and without making any charge therefor.

Section 4.12. Bonds Lost or Destroyed. Upon receipt by the County and the Sinking Fund Depository of evidence satisfactory to both of them that any outstanding Bond has been mutilated, destroyed, lost or stolen, and of indemnity satisfactory to both of them, the County may, in its discretion, execute, and thereupon the Sinking Fund Depository shall authenticate and deliver, a new Bond of the same maturity and of like tenor in exchange and substitution for, and upon surrender and cancellation of, the mutilated Bond, or in lieu of and in substitution for the Bond so destroyed, lost or stolen. The County may, for each new Bond authenticated and delivered under the provisions of this Section, require the payment of expenses, including counsel fees. Any Bond issued under the provisions of this Section in lieu of any Bond alleged to be destroyed, lost or stolen shall constitute an original additional and independent contractual obligation on the part of the County, whether or not the Bond so alleged to be destroyed, lost or stolen be at any time enforceable by anyone, and shall be equally and proportionately entitled to the benefits of this Debt Ordinance with all other Bonds issued hereunder, and all limitations and debt limits imposed by the Debt Act shall be increased to the extent necessary to validate such new Bonds.

Section 4.13. Form of the Bonds. The Bonds shall be executed in substantially the following form, with such appropriate changes, additions or deletions as may be approved by the County Executive (or the Finance Director acting by reason of the County Executive's absence or incapacity), in the manner provided in Section 4.11, and such execution shall constitute approval by the County. The opinion of Bond Counsel is authorized and directed to be printed upon the Bonds.

UNLESS THIS CERTIFICATE IS PRESENTED BY AN AUTHORIZED REPRESENTATIVE OF THE DEPOSITORY TRUST COMPANY, A NEW YORK CORPORATION ("DTC"), TO THE COUNTY OR ITS AGENT FOR REGISTRATION OF TRANSFER, EXCHANGE, OR PAYMENT, AND ANY CERTIFICATE ISSUED IS REGISTERED IN THE NAME OF CEDE & CO. OR IN SUCH OTHER NAME AS IS REQUESTED BY AN AUTHORIZED REPRESENTATIVE OF DTC (AND ANY PAYMENT IS MADE TO CEDE & CO. OR TO SUCH OTHER ENTITY AS IS REQUESTED BY AN AUTHORIZED REPRESENTATIVE OF DTC) ANY TRANSFER, PLEDGE, OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL INASMUCH AS THE REGISTERED OWNER HEREOF, CEDE & CO., HAS AN INTEREST HEREIN.

This Bond is one of a duly authorized series of bonds, designated "General Obligation Bonds, Series of 2015" of the County (the "Bonds"), issued in accordance with the Local Government Unit Debt Act of the General Assembly of the Commonwealth of Pennsylvania, Act of December 19, 1996 (P.L. 1158, No. 177, as amended (the "Debt Act"), pursuant to all the terms and provisions of the formal action of the County (the "Debt Ordinance"), and with the approval of the Department of Community and Economic Development of the Commonwealth of Pennsylvania.

Interest payable on any Interest Payment Date will be paid to the person in whose name this Bond is registered at the close of business on the February 15 or August 15 (the "Record Date") immediately preceding the applicable Interest Payment Date. Any interest which is not deposited with the Sinking Fund Depository on or before any Interest Payment Date for payment to the Registered Owner of record on the Record Date will forthwith cease to be payable to such Registered Owner on the Record Date, and will be paid to the person in whose name this Bond is registered on a Special Record Date for the payment of such defaulted interest to be fixed by the Sinking Fund Depository, notice of which shall be given to all Registered Owners not less than 10 days prior to the Special Record Date.

The Bonds maturing on and after _____, are subject to redemption at the option of the County prior to their stated Maturity Dates, as a whole or in part (by lot within a maturity) on _____, or on any date thereafter, upon payment of the Redemption Price of 100% of the principal amount thereof, together with interest accrued to the date fixed for redemption.

The Bonds maturing _____ of the years ____, ____ and ____ are subject to mandatory redemption prior to their stated Maturity Date, on _____ of the years ____, ____, and ____ upon payment of the Redemption Price of 100% of the principal amount thereof, together with interest accrued to the date fixed for redemption.

If less than all Bonds maturing on any one date are to be redeemed at any time, the Bonds to be called for redemption at such time will be chosen by the Sinking Fund Depository, by lot.

Notice of redemption of any Bond will be given to the Registered Owner of such Bond by first class mail, not less than 30 nor more than 60 days prior to the redemption date, all in the manner and upon the terms and conditions set forth in the Debt Ordinance. A portion of a Bond

of a denomination larger than \$5,000 may be redeemed, and in such case, upon the surrender of such Bond, there will be issued to the Registered Owner thereof, without charge, a registered Bond or Bonds for the unredeemed balance of the principal amount of such Bond, all as more fully set forth in the Debt Ordinance. If notice of redemption is duly given, the Bonds or portions thereof specified in that notice shall become due and payable at the applicable Redemption Price on the designated redemption date, and if, on such date, moneys are held by the Sinking Fund Depository for the payment of the Redemption Price of the Bonds to be redeemed, together with interest to the date fixed for redemption, then from and after such date interest on that Bonds will cease to accrue.

The County, pursuant to recommendations made by the Committee on Uniform Security Identification Procedures, has caused CUSIP numbers to be printed on the Bonds, and has directed the Sinking Fund Depository to use such numbers in notices of redemption and other notices, if any, as a convenience to the Registered Owners of the Bonds. No representation is made as to the accuracy of such numbers either as printed on the Bonds or as contained in any notice and reliance may be placed only on the identification number printed hereon.

This Bond may be transferred or exchanged only on the Register maintained by the County at the offices of the Sinking Fund Depository upon surrender hereof by the Registered Owner at such office duly endorsed by, or accompanied by a written instrument of transfer duly executed by, the Registered Owner or the duly authorized agent or legal representative of the Registered Owner, in each case, in form and with a guaranty of signature satisfactory to the County and the Sinking Fund Depository. No service charge will be imposed on any Registered Owner of any Bond for any transfer or exchange of any Bond, but the County may require payment of any tax or other governmental charge that may be imposed in connection with any transfer or exchange of Bonds.

The County is not required to register the transfer or exchange of any Bond: (a) during the period of 15 business days before any date of selection of Bonds to be redeemed or (b) after such Bond has been selected for redemption.

Subject to the provisions of this Bond and of the Debt Ordinance, the Sinking Fund Depository may treat the Registered Owner of this Bond as the absolute owner hereof, for all purposes, whether or not this Bond is overdue, and neither the County nor the Sinking Fund Depository will be affected by any notice to the contrary.

This Bond is hereby declared to be a general obligation of the County. The County hereby covenants with the Registered Owner of this Bond to include the amount necessary to pay the debt service hereon, in each fiscal year for which such sums are due, in its budget for that year, to appropriate such amounts from its general revenues to the payment of the debt service and to duly and punctually pay or cause to be paid from its Sinking Fund or any other of its revenues or funds the principal of this Bond and the interest hereon on the dates, at the place and in the manner stated herein, according to the true intent and meaning hereof.

It is hereby certified that all acts, conditions and things required by the laws of the Commonwealth of Pennsylvania to exist, to have happened or to have been performed, precedent

to or in the issuance of this Bond or in the creation of the debt of which this Bond is evidence, exist, have happened and have been performed in regular and due form and manner as required by law; that this Bond, together with all other indebtedness of the County, is within every debt and other limit applicable to the County prescribed by the Constitution and the laws of the Commonwealth of Pennsylvania; that the County has established with the Sinking Fund Depository a Sinking Fund for this Bond and will deposit therein amounts sufficient to pay the principal of and interest on this Bond when due and payable; and that for the prompt and full payment of all obligations under this Bond, the full faith, credit and taxing power of the County are hereby irrevocably pledged.

No recourse shall be had for the payment of the principal of or the interest on this Bond, or for any claim based hereon, against any officer, agent, attorney or employee, past, present or future, of the County, as such, either directly or through the County, whether by virtue of any constitutional provision, statute or rule of law, or by the enforcement of any assessment or penalty, or otherwise; all such liability of such officers, agents or employees is hereby renounced, waived and released as a condition of and as consideration for the issuance and acceptance of this Bond.

IN WITNESS WHEREOF, the County has caused this Bond to be duly executed in its name by the facsimile signature of the County Executive, together with a facsimile of its corporate seal affixed hereto duly attested by the facsimile signature of its County Clerk, and dated as of the Authentication Date.

ATTEST:

COUNTY OF ERIE

County Clerk

By: _____
County Executive

[SEAL]

CERTIFICATE OF AUTHENTICATION

This Bond is one of the Bonds authorized by the within-mentioned Debt Ordinance.

The opinion contained herein is that of MacDonald Illig Jones & Britton, LLP, Bond Counsel, of Erie, Pennsylvania, executed counterparts of which, dated the date of delivery of and payment for the Series of Bonds of which this Bond is one, is on file at the offices of the Sinking Fund Depository.

The Bank of New York Mellon Trust
Company, N.A.
as Sinking Fund Depository,
Paying Agent and Registrar

AUTHENTICATION DATE:

Authorized Officer

_____, 2015

[TEXT OF OPINION OF BOND COUNSEL]

ASSIGNMENT

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto

Please insert Social Security
or other identifying number
of assignee

Please print or typewrite name(s) and address including postal zip code of transferee(s)

the within Bond and all rights thereunder, and hereby irrevocably constitutes and appoints

_____ Agent
to transfer the within Bond on the books kept for registration thereof, with full power of
substitution in the premises.

Dated _____

Signature(s) Guaranteed:

NOTICE: Signature(s) must be
guaranteed by a member firm of
an approved Signature Guarantee
Medallion Program.

NOTICE: The signature(s) to
this assignment must
correspond with the name(s) as
written upon the face of the
Bond, in every particular,
without alteration or
enlargement, or any change
whatever.

ARTICLE 5 -- CONCERNING THE SINKING FUND DEPOSITORY

Section 5.01. Maintenance of Sinking Fund. The Sinking Fund Depository will maintain the Sinking Fund as a separate account and will, without further authorization other than as herein contained, pay, from moneys therein, the principal of, interest on and premium, if any, on the Bonds, as and when due, to the Registered Owners thereof.

Section 5.02. Unclaimed Funds. The Sinking Fund Depository shall return to the County all moneys deposited and held in a Sinking Fund for the payment of Bonds which have not been claimed by the Registered Owners after two years from the date when payment is due, except where such funds are held for the payment of outstanding checks, drafts or other instruments of the Sinking Fund Depository. Nothing in this Section or by reason of any action taken hereunder shall relieve the County of its liability for payment to the Registered Owners of un-presented Bonds.

Section 5.03. Registration Agents. In the event the Bonds are qualified by the Purchaser or are otherwise determined to be eligible for the deposit, book-entry, withdrawal, and other related services of DTC (or another or additional recognized bond registration agent performing similar services), the Sinking Fund Depository shall undertake and perform those additional duties which may be required of it in order to enable DTC (or other similar agent) to perform such services for its participants, including the processing of transfers of registration within necessary time periods, the payment of Bonds by acceptable fund transfers, and the delivery of adequate redemption and other payment notices.

Section 5.04. Liability of Sinking Fund Depository. The Sinking Fund Depository may exercise any of the powers or perform any duty hereunder by or through attorneys, agents, receivers or employees, and it shall not be answerable or accountable for any act, default, neglect or misconduct of any such attorney, agent, receiver or employee, if reasonable care has been exercised in its appointment and retention, nor shall the Sinking Fund Depository be otherwise answerable or accountable under any circumstances whatever in connection with such powers or duties, except for its own gross negligence or willful misconduct. The Sinking Fund Depository shall be protected and shall incur no liability in relying, acting or proceeding in good faith upon any notice, request, order, certificate, report, opinion, statement, affidavit, voucher, or other paper or document believed by it to be genuine and to have been signed, passed or presented by the proper person, nor be bound to make any investigation into the matters stated therein. The Sinking Fund Depository may, however, at any time in its discretion, require of the County full information and advice as to the above as well as to the performance of any of the covenants, conditions and agreements in this Debt Ordinance and may further make or cause to be made independent investigations concerning its affairs at the expense of the County. The Sinking Fund Depository may consult with legal counsel to be selected and employed by it and the opinion of such counsel shall be full and complete authorization and protection in respect of any action taken or suffered by it hereunder in good faith and in accordance with the opinion of such counsel.

Section 5.05. Ownership of Bonds. The Sinking Fund Depository, in its individual capacity or as a fiduciary, may become the owner of Bonds with the same rights it would have if it were not the Sinking Fund Depository. The Sinking Fund Depository may also engage in, or be interested in, any financial or other transaction with the County not in derogation of the rights of the Registered Owners of the Bonds.

Section 5.06. Interpretation. The Sinking Fund Depository may construe any of the provisions of this Debt Ordinance insofar as the same may appear to be ambiguous or inconsistent with any other provision hereof, and any construction of any such provisions hereof by the Sinking Fund Depository made in good faith shall be binding upon the Registered Owners of the Bonds.

Section 5.07. Fees and Expenses. The Sinking Fund Depository shall be paid such initial and periodic fees for its services and reimbursed for such expenses as are specified in those proposals and other such communications made to and received by the County in connection with the Bonds, if any, or, in all events, according to its usual, customary and reasonable schedule of fees and other charges.

Section 5.08. Removal; Resignation. The Sinking Fund Depository shall serve in such capacity at the will of the County and may be removed, at any time, with or without cause, by the appointment of a qualified successor and upon 60 days written notice to the Registered Owners of the Bonds and to the Sinking Fund Depository. The Sinking Fund Depository may at any time resign and be discharged of the trust hereby created by giving not less than 60 days written notice to the County and the Registered Owners, specifying the date when the resignation will take effect, in the manner required for bond redemption notices in Section 4.10 hereof. The resignation will take effect upon the day specified in such notice, unless a successor sinking fund depository was previously appointed by the County as hereinbefore provided, in which event, such resignation will take effect immediately on the appointment of the successor. Any corporation or association into which the Sinking Fund Depository, or any additional or appointed alternate or successor to it, may be merged or converted or with which it, or any additional or appointed alternate or successor to it, may be consolidated, or any corporation or association resulting from any merger, conversion or consolidation to which the Sinking Fund Depository shall be a party, or any corporation or association to which the Sinking Fund Depository, or any additional or appointed alternate or successor to it, sells or otherwise transfers all or substantially all of its corporate trust business shall be the successor Paying Agent hereunder, without the execution or filing of any paper or any further act on the part of the parties hereto, anything herein to the contrary notwithstanding.

Section 5.09. Duties upon Default. If the County fails or refuses to make any required deposit in the Sinking Fund, the Sinking Fund Depository: (a) independent of events and actions of Registered Owners, any trustee or any court or administrative or judicial officer undertaken or occurring pursuant to the provisions of Article 6 hereof, must notify the Department of such failure or refusal, in order to facilitate an inspection of the Sinking Fund by the Department pursuant to the Debt Act and, (b) in conjunction with such events and actions under Article 6 hereof, may, and upon request of the Registered Owners of 25% in principal amount of outstanding Bonds and upon being indemnified against cost and expense, shall, exercise any

remedy provided in Article 6 of this Debt Ordinance, in the Debt Act, or at law or in equity, for the equal and ratable benefit of the Registered Owners of the outstanding Bonds, and must disburse all funds so collected equally and ratably to the Registered Owners thereof, pursuant to the requirements of the Debt Act.

ARTICLE 6 -- DEFAULTS AND REMEDIES

Section 6.01. Failure to Budget Debt Service. If the County fails or refuses to make adequate provision in its budget for any fiscal year for the sums payable in respect of the Bonds, then at the suit of the Registered Owner of any Bond, the Court of Common Pleas of Erie County shall, after a hearing held upon such notice to the County as the Court may direct, and upon a finding of such failure or neglect, by writ of mandamus require the County Executive (or the Finance Director acting by reason of the County Executive's absence or incapacity) to pay into the Sinking Fund the first tax moneys or other available revenues or moneys thereafter received in such fiscal year by County until the sum on deposit in the Sinking Fund shall equal the moneys that should have been budgeted or appropriated for the Bonds.

Section 6.02. Failure to Pay Principal or Interest. If the County fails or neglects to pay or cause to be paid the interest or principal on any of the Bonds, as the same becomes due and payable, and such failure shall continue for 30 days, the Registered Owner thereof shall, subject to any appropriate priorities created under the Debt Act, have the right to recover the amount due in an action in assumpsit in the Court of Common Pleas of Erie County. The judgment recovered shall have an appropriate priority upon the moneys next coming into the treasury of the County.

Section 6.03. Trustee for Registered Owners.

(a) If the County defaults in the payment of the principal of or the interest on the Bonds after the same shall become due, and such default shall continue for 30 days, or if the County fails to comply with any provision of the Bonds or this Debt Ordinance, the Registered Owners of 25% in aggregate principal amount of the Bonds then outstanding, by an instrument or instruments filed in the office for the recorder of deeds of Erie County, signed and acknowledged in the same manner as a deed to be recorded, may appoint a trustee, who may be the Sinking Fund Depository, to represent the Registered Owners of all such bonds or notes, and such representation shall be exclusive for the purposes herein provided.

(b) Such trustee may, and upon written request of the Registered Owners of 25% in principal amount of the Bonds then outstanding and upon being furnished with indemnity satisfactory to it, must, in his or its own name take one or more of the actions set forth below and the taking of such actions will preclude similar action whether previously or subsequently initiated by individual Registered Owners of the Bonds:

(i) By mandamus or other suit, action or proceeding at law or in equity, enforce all rights of the Registered Owners of the Bonds or require the County to carry out any other agreement with the Registered Owners of the Bonds;

(ii) Bring suit on the Bonds without the necessity for producing the same;

(iii) Petition the Court to levy, and the Court is hereby empowered to levy, after a hearing upon such notice to the owners of assessable real estate as the court may prescribe, the amount due before or after the exercise of any right of acceleration on the Bonds plus estimated costs of collection upon all taxable real estate and other property subject to *ad valorem* taxation within the County, in proportion to the value thereof as assessed for tax purposes, and the trustee may collect, or cause the County to collect, such amounts as by foreclosure of a mortgage or security interest on the realty or other property if not paid on demand. Any assessment levied pursuant hereto shall have the same priority and preference as against other liens or mortgages on the real estate or security interests in fixtures thereon or other property as a lien for unpaid taxes;

(iv) By suit in equity, enjoin any acts or things which may be unlawful or in violation of the rights of the Registered Owners of the Bonds;

(v) After 30 days prior written notice to the County, declare the unpaid principal of all the Bonds to be, and it shall thereby become, forthwith due and payable with interest at the rates stated in the Bonds until final payment (and, if all defaults shall be made good, then to annul such declaration and its consequences).

(c) If the Sinking Fund Depository is willing to serve and exercise the powers conferred upon a trustee appointed by this Section 6.03, no trustee appointed in the manner provided in this Section shall have the powers herein set forth unless the appointment under this Section was executed by or pursuant to the authority of the Registered Owners of a principal amount of such Bonds sufficient to remove such originally appointed trustee.

(d) Proof of ownership of Bonds and of execution of instruments relative thereto shall be made according to the provisions of Section 8114 of the Debt Act.

Section 6.04. Costs of Suits or Proceedings. In any suit, action or proceeding by or on behalf of the Registered Owners of defaulted Bonds, the fees and expenses of a trustee or receiver, including operating costs of a project and reasonable counsel fees, shall constitute taxable costs, and all such costs and disbursements allowed by the court shall be deemed additional principal due on the Bonds, and shall be paid in full from any recovery prior to any distribution to the Registered Owners of the Bonds.

Section 6.05. Distribution of Moneys Realized for Registered Owners. Moneys or funds collected for the Registered Owners of defaulted Bonds shall, after the payment of costs and fees as provided in Section 6.04, be applied by the trustee or receiver as follows:

(a) Unless the principal of all the Bonds shall have become or have been declared due and payable, (i) to the payment to the Registered Owners entitled thereto of all installments of interest then due in the order of their respective due dates and, if the amount available shall not be sufficient to pay any installment in full, then to the payment ratably,

according to the amounts due on such installment, to the Registered Owners entitled thereto, without any discrimination or preference; and (ii) to the payment to the Registered Owners entitled thereto of the unpaid principal of any Bonds which shall have become due, whether at stated Maturity Dates or by call for redemption, in the order of their respective due dates, and if the amount available shall not be sufficient to pay in full all the Bonds due on any date, then to the payment ratably, according to the amounts of principal due on such dates, to the Registered Owners entitled thereto without any discrimination or preference;

(b) If the principal of all the Bonds shall have become or shall have been declared due and payable, to the payment of the principal and interest then due and unpaid upon the Bonds without preference or priority of principal over interest or interest over principal, or of any installment of interest over any other installment of interest, or of any Bond over any other Bond, ratably according to the amounts due respectively for principal and interest, to the Registered Owners entitled thereto without any discrimination or preference.

ARTICLE 7 -- AMENDMENTS AND MODIFICATIONS

Section 7.01. Amendments Without Consent. Notwithstanding any other provision of this Debt Ordinance, the County may, from time to time and at any time, enact, execute, file with the Department and deliver to the Sinking Fund Depository, who shall accept the same, debt ordinances amending, modifying or supplemental hereto, as shall not be inconsistent with the terms and provisions hereof and which shall not adversely affect the rights of the Registered Owners of the Bonds (which modifying or supplemental debt ordinances shall thereafter form a part hereof), for the following purposes: (a) to cure any ambiguity, formal defect or omission in this Debt Ordinance; (b) to grant or confer upon the Sinking Fund Depository for the benefit of the Registered Owners of the Bonds any additional rights, remedies, powers, authority or security that may lawfully be granted to or conferred thereupon; (c) to add to this Debt Ordinance additional covenants and agreements thereafter to be observed by, or to surrender any right or power herein reserved to or conferred upon, the County; or (d) to amend the definition of the Project and change the purposes of the Bonds, in compliance with all provisions of the Debt Act.

Section 7.02. Amendments With Consent. With the consent of the Registered Owners of not less than 66 2/3% in outstanding principal amount of the Bonds, the County may, from time to time and at any time, enact, execute, file with the Department and deliver to the Sinking Fund Depository, who shall accept the same, debt ordinances amending, modifying or supplemental hereto for the purpose of adding any provision to or changing in any manner or eliminating any of the provisions of this Debt Ordinance or of modifying in any manner the rights of the Registered Owners of the Bonds; provided, however, that no such modifying or supplemental debt ordinance shall: (a) extend the fixed maturity date of any Bond, or reduce the principal amount thereof, or reduce the rate or extend the time of payment of interest thereon, or reduce any premium payable upon the redemption thereof, without the consent of the Registered Owner of each Bond so affected; or (b) reduce the aforesaid percentage of Bonds, the Registered Owners of which are required to consent to any such modification or supplement, without the consent of the Registered Owners of all Bonds then outstanding. It shall not be necessary for the

consent of the Registered Owners to approve the particular form of any proposed modification or supplement if such consent shall approve the substance thereof.

Section 7.03. Acceptance of Amendment. The Sinking Fund Depository shall accept any amending, modifying or supplemental debt ordinance which the County is authorized to execute hereunder upon delivery of the following: (a) the amending, modifying or supplemental debt ordinance, duly executed with proof of filing with the Department; and (b) an opinion of Bond Counsel to the effect that: (i) such amending, modifying or supplemental debt ordinance was properly enacted, executed and delivered pursuant to: (1) the provisions of Section 7.01 hereof or (2) the provisions of Section 7.02 hereof with the consent of the Registered Owners of the Bonds as required hereunder, and (ii) the enactment, execution and delivery of such debt ordinance complies with all applicable requirements of law, including the Debt Act.

Section 7.04. Effect of Amendment. Upon the execution of any amending, modifying or supplemental debt ordinance pursuant to the provisions of this Article, this Debt Ordinance shall be and be deemed to be amended, modified and supplemented in accordance therewith, and the respective rights, limitation of rights, obligations, duties and immunities of parties hereunder shall thereafter be determined, exercised and enforced hereunder subject in all respects to such amendments, modifications and supplements, and all the terms and conditions of any such debt ordinance shall be and be deemed to be part of the terms and conditions of this Debt Ordinance for any and all purposes.

Section 7.05. Notice of Amendment. Notice, including a summary description, of any amending, modifying or supplemental debt ordinance once effectuated shall be confirmed promptly to all Registered Owners, and shall be given to Standard and Poor's Corporation and to Moody's Investors Service in the same manner provided for notices of redemption in Section 4.10.

ARTICLE 8 -- DISCHARGE OF DEBT ORDINANCE

If the County shall pay or cause to be paid unto the Registered Owners the principal, interest and, if any, the premium with respect to the Bonds, at the times and in the manner stipulated therein, then this Debt Ordinance and the estate and rights hereby granted shall cease, determine and be void, and thereupon the Sinking Fund Depository shall release, cancel and discharge the lien and obligations of this Debt Ordinance and deliver to the County any funds or documents at the time subject to the lien of this Debt Ordinance which may then be in its possession; provided, however, that until such time as full and complete payment is so made, this Debt Ordinance shall be and remain in full force and effect.

Bonds, for the payment or redemption of which cash and/or Eligible Securities which upon maturity will yield funds in the full amount required therefor shall have been deposited with the Sinking Fund Depository, whether upon or prior to the Maturity Date or the Redemption Date of such Bonds, shall be deemed to be paid within the meaning of this Article, provided, however, that if such Bonds are to be redeemed prior to their Maturity Dates, notice of such redemption shall have been duly given or adequate provision shall have been made therefor.

ARTICLE 9 -- TAX AND SECURITIES LAW COVENANTS

Section 9.01. Tax Compliance in General. The County hereby states its intention to comply with all the provisions of Sections 103 and 141 through 150, inclusive, of the Internal Revenue Code of 1986, as amended (the "Tax Code") with respect to the Bonds. The County represents and covenants that it has undertaken and performed, and will undertake and perform, or, as appropriate, discontinue, upon the instruction of Bond Counsel, all those acts necessary and proper to the maintenance of the exclusion of the interest on the Bonds from the gross income of the Registered Owners thereof conferred by said Sections of the Tax Code, as interpreted by applicable regulations, rulings or other pronouncements of the Secretary of the United States Department of the Treasury.

Section 9.02. Not a Private Activity Bond; Taxing Powers. The County covenants that the Bonds are not an issue: (a)(i) more than 10 percent of the proceeds of which are to be used for any private business use, and (ii) the payment of the principal of, or the interest on, more than 10 percent of the proceeds, directly or indirectly, is (1) secured by any interest in property used or to be used for a private business use, or payments in respect of such property, or (2) to be derived from payments in respect of property, or borrowed money, used or to be used for a private business use; nor (b) the proceeds of which, in an amount exceeding the lesser of five percent of such proceeds, or \$5,000,000, are to be used to make or finance loans to persons other than governmental units. The County certifies that it is a political subdivision and governmental unit with general taxing powers.

Section 9.03. Covenants Regarding Non-Arbitrage and Rebate. The County hereby covenants that (a) it will make no use of the proceeds of the Bonds during the term thereof that would cause such Bonds to be "arbitrage bonds" within the meaning of Section 148 of the Tax Code and it will comply with the requirements of all Tax Code sections necessary to insure that the Bonds are described in Tax Code Section 103(a) and not described in Tax Code Section 103(b) throughout the term of said Bonds; and (b) if the gross proceeds of the Bonds, other than amounts held in a debt service fund for said Bonds, are (to the extent permitted by the Tax Code and the regulations thereunder) invested at a yield which exceeds the yield on the Bonds, and if no exception to rebate applies, the County will calculate and pay amounts representing excess investment income thereon (the "Rebate Amounts") in the manner required by Tax Code Section 148(f) and the regulations thereunder. The obligation to remit the Rebate Amounts and to comply with all other requirements of this Section 9.03 shall survive the defeasance and payment in full of the Bonds.

Section 9.04. Information Reporting. The County Executive or the Finance Director shall prepare, or cause to be prepared, and shall execute and submit IRS Form 8038-G according to all the requirements for information reporting contained in Section 149(e) of the Tax Code.

Section 9.05 Securities Law Compliance in General. The County hereby states its intention to comply, and to facilitate compliance by the Purchaser and other related parties, with all the provisions of Rule 15c2-12 of the Securities and Exchange Commission (the "Rule"),

including for this purpose the related body of securities disclosure and anti-fraud laws; the County represents and covenants that it has undertaken and performed, and will undertake and perform, or, as appropriate, discontinue, upon appropriate instructions of Bond Counsel or otherwise, all those acts necessary and proper to achieve compliance with the Rule, as interpreted by applicable regulations, rulings or other pronouncements of the Securities and Exchange Commission, or other appropriate regulatory body.

Section 9.06. Official Statement.

(a) The County acknowledges that preparation of the Official Statement by the Purchaser was done on its behalf and for its benefit, as an agent, and that, in particular, while matters of style and format may have originated with the Purchaser, all substantive data and information was provided by the County. The County, upon review as to completeness and accuracy, hereby deems the Preliminary Official Statement final as of its date, and certifies the Preliminary Official Statement did not and does not, as of its date and as of this date, contain any untrue statements of a material fact or omit to state any material fact which should be included therein in order to make the statements contained therein, in the light of the circumstances under which they were made, not misleading, as required by statute, regulation or substantive law. The distribution of the Preliminary Official Statement by the Purchaser is hereby ratified and approved.

(b) The County hereby covenants to provide a final Official Statement to the Purchaser within seven business days of this date. The County Executive (or the Finance Director acting by reason of the County Executive's absence or incapacity) is hereby authorized and directed to execute the same with such completions therein from the preliminary document as may be necessary and, provided further, that execution of a certificate concurrently upon, or subsequent to, preparation of the final Official Statement, including any settlement certificate, by the County Executive (or the Finance Director acting by reason of the County Executive's absence or incapacity), regarding the truth and accuracy of the final Official Statement is tantamount to execution of the original document and full and sufficient authority for the printing of one or more conformed signatures therein. The County hereby covenants that the same representations regarding finality and completeness made regarding the Preliminary Official Statement will be true of the final Official Statement as of its date and as of Settlement. The Purchaser is authorized to use the final Official Statement in connection with the sale of the Bonds.

Section 9.07. Continuing Disclosure. The County will execute and deliver a Continuing Disclosure Certificate under which it will agree to provide or cause to be provided (a) annual financial information and operating data, and (b) timely notice of the occurrence of certain material events with respect to the Bonds. The Purchaser's obligation to purchase the Bonds is conditioned upon its receipt of the Continuing Disclosure Certificate, at or prior to the delivery of the Bonds, in form and substance reasonably satisfactory to the Purchaser.

ARTICLE 10 -- SALE OF BONDS; SETTLEMENT

Section 10.01. Award to Purchaser. After due consideration of sundry factors, including professional assistance and current market conditions, the Governing Body hereby determines that a negotiated private sale of the Bonds is in the best financial interest of the County. The Bonds are hereby awarded and sold at private sale by negotiation to the Purchaser at the Purchase Price, in accordance with all the terms of the Purchase Proposal, which is hereby accepted, approved and incorporated by reference into this Debt Ordinance. The County Executive (or the Finance Director acting by reason of the County Executive's absence or incapacity) is authorized and directed to sign such Purchase Proposal, return it to the Purchaser, and file a copy of the same with the records of the County.

Section 10.02. Delivery of Bonds. The County Executive (or the Finance Director acting by reason of the County Executive's absence or incapacity) is hereby authorized and directed to deliver the Bonds to the Sinking Fund Depository for authentication and thereafter to the Purchaser against confirmed receipt of the Purchase Price thereof.

Section 10.03. Clearing Fund. Either the County Executive or the Finance Director, (or their successors acting by reason of absence or incapacity) is hereby authorized and directed to establish with the Sinking Fund Depository, in the name of the County, the Clearing Fund to facilitate the settlement of the Bonds. The Purchase Price shall be deposited into the Clearing Fund immediately upon receipt, and either the County Executive or the Finance Director, (or their successors acting by reason of absence or incapacity) are hereby authorized and directed to transfer and invest funds, to pay all necessary, usual and proper costs of issuance of the Bonds, to execute and deliver such documents and to do all such other acts, upon advice of Bond Counsel, as are reasonable and necessary to ensure a satisfactory settlement of the sale of the Bonds and a proper application of the proceeds of the Bonds to the Project.

Section 10.04 Intentionally Omitted.

Section 10.05. Expeditious Settlement; Authority. Notwithstanding any other provision of this Debt Ordinance, the County Executive and the Finance Director (or their successors acting by reason of absence or incapacity) are each authorized, directed and empowered to undertake and perform, or cause to be undertaken or performed, all such ordinary duties of the County (and the same are hereby specifically approved) which may be required under, or reasonably contemplated by, the Purchase Proposal, including, without limitation, application and qualification for certain bond ratings and/or policies of bond insurance and establishment of bank accounts with authorized depositories for the deposit and management of Bond proceeds, purchase of necessary investments, retention of professionals, bond printing, and execution and delivery of such certificates, orders and agreements as may be necessary, in the opinion of Bond Counsel to settlement of the sale of the Bonds. The County Executive and the Finance Director (or their successors acting by reason of absence or incapacity) are each further authorized, directed and empowered on behalf of the County to execute any and all agreements, papers and documents and to do or cause to be done any and all acts and things necessary or proper for the carrying out of the purposes of this Debt Ordinance. The acts of either the County Executive or the Finance Director (or the acts of either of their successors acting by reason of absence or incapacity) are authorized acts of the County to effect the foregoing purposes.

ARTICLE 11 -- MISCELLANEOUS

Section 11.01. Ratification. The action of the County's officers and agents in advertising a summary notice of this Debt Ordinance, as required by law, is ratified and confirmed. The advertisement of the enactment notice of this Debt Ordinance is hereby directed.

Section 11.02. Contract. This Debt Ordinance shall be a contract with the Registered Owners.

Section 11.03. Inconsistencies. All prior ordinances, resolutions, or other official acts or parts thereof inconsistent herewith are hereby repealed to the extent of such inconsistencies.

Section 11.04. Statutory References. All references to specific provisions of statutory law herein contained may be read and interpreted by reference to amended, successor or replacement laws, but only to the extent consistent with the intent and clear meaning of this Debt Ordinance. All inconsistencies shall be resolved with recognition of, and in favor of, the rights of the owners of the Bonds, whose rights shall not be impaired.

Section 11.05. Benefited Parties. Nothing in this Debt Ordinance, expressed or implied, is intended or shall be construed to confer upon, or to give to, any person or corporation, other than the County, the Sinking Fund Depository, the Registered Owners of the Bonds, and the Insurer, any right, remedy or claim under or by reason of this Debt Ordinance or any covenant, condition or stipulation hereof; all of the covenants, stipulations, promises and agreements in this Debt Ordinance contained by and on behalf of the County shall be for the sole and exclusive benefit of such persons.

Section 11.06. Severability. If any one or more of the covenants or agreements provided in this Debt Ordinance to be performed on the part of the County or the Sinking Fund Depository shall for any reason be held to be illegal or invalid or otherwise contrary to law, then such covenant or covenants or agreement or agreements shall be null and void and shall be deemed separable from the remaining covenants and agreements, but shall in no way otherwise affect the validity of this Debt Ordinance.

Section 11.07. No Personal Liability. No covenant or agreement contained in the Bonds or in this Debt Ordinance shall be deemed to be the covenant or agreement of any member, officer, agent, attorney or employee of the County in his individual capacity, and neither the members of the Governing Body nor any appointed or elected official shall be liable personally on the Bonds or be subject to any personal liability or accountability by reason of the issuance thereof.

Section 11.08. Counterparts. This Debt Ordinance may be executed in multiple counterparts, each of which is regarded for all purposes as an original; but the counterparts constitute one and the same instrument.

Section 11.09. Payment of Costs of Issuance. The County hereby authorizes the payment the costs of issuing the Bonds at the time of delivery of the Bonds to the Purchaser, such costs being estimated in the Purchase Proposal.

[SIGNATURE APPEAR ON THE NEXT PAGE]

Duly Adopted by the County Council of the County of Erie, in lawful session assembled,
on _____, 2015.

[SEAL]

COUNTY COUNCIL OF THE COUNTY OF
ERIE, PENNSYLVANIA

By _____
Fiore Leone, Chair

Attest:

Phil Fatica, Vice Chair

County Clerk

Kyle W. Foust

Edward T. DiMatteo

Jay Brenneman

Carol J. Loll

Andre R. Horton

Approved by:

County Executive

SCHEDULE A

**DEBT SERVICE
AND PRINCIPAL AMORTIZATION SCHEDULE**

| Year | Principal | Coupon | Interest | Debt Service |
|--------------|------------------------|---------------|------------------------|------------------------|
| 2016 | \$1,075,000.00 | 6.00% | \$1,494,523.33 | \$2,569,523.33 |
| 2017 | 1,210,000.00 | 6.00% | 1,527,300.00 | 2,737,300.00 |
| 2018 | 1,285,000.00 | 6.00% | 1,454,700.00 | 2,739,700.00 |
| 2019 | 1,360,000.00 | 6.00% | 1,377,600.00 | 2,737,600.00 |
| 2020 | 1,445,000.00 | 6.00% | 1,296,000.00 | 2,741,000.00 |
| 2021 | 1,530,000.00 | 6.00% | 1,209,300.00 | 2,739,300.00 |
| 2022 | 1,620,000.00 | 6.00% | 1,117,500.00 | 2,737,500.00 |
| 2023 | 1,720,000.00 | 6.00% | 1,020,300.00 | 2,740,300.00 |
| 2024 | 1,820,000.00 | 6.00% | 917,100.00 | 2,737,100.00 |
| 2025 | 1,930,000.00 | 6.00% | 807,900.00 | 2,737,900.00 |
| 2026 | 2,045,000.00 | 6.00% | 692,100.00 | 2,737,100.00 |
| 2027 | 2,170,000.00 | 6.00% | 569,400.00 | 2,739,400.00 |
| 2028 | 2,300,000.00 | 6.00% | 439,200.00 | 2,739,200.00 |
| 2029 | 2,435,000.00 | 6.00% | 301,200.00 | 2,736,200.00 |
| 2030 | 2,585,000.00 | 6.00% | 155,100.00 | 2,740,100.00 |
| Total | \$26,530,000.00 | | \$14,379,223.33 | \$40,909,223.33 |

SCHEDULE B

**PROOF OF COMPLIANCE
WITH LOCAL GOVERNMENT UNIT DEBT ACT SECTION 8142(b)**

CERTIFICATE

I, the undersigned, County Clerk of the County of Erie, Erie County, Pennsylvania, do hereby certify that the foregoing and attached is a true and correct copy of an Ordinance of said County of Erie which was duly enacted by the affirmative vote of a majority of the members of the County Council of the County of Erie at a meeting thereof duly called and held on _____, 2015, with said members voting in the following manner:

| <u>COUNTY COUNCIL</u> | <u>Vote</u> |
|-------------------------|-------------|
| Fiore Leone, Chair | _____ |
| Phil Fatica, Vice Chair | _____ |
| Kyle W. Foust | _____ |
| Edward T. DiMatteo | _____ |
| Jay Brenneman | _____ |
| Carol J. Loll | _____ |
| Andre R. Horton | _____ |

I hereby further certify that proper notices before and after its adoption were duly published, as required by law, in a newspaper of general circulation, published or circulating in said County of Erie, and that the same was duly recorded in the Ordinance Book of said County of Erie, and that said Ordinance has not been amended, modified or rescinded and is still in full force and effect.

WITNESS my hand and the seal of the County of Erie this __ day of _____, 2015.

COUNTY OF ERIE

(COUNTY SEAL)

By _____
County Clerk

RESOLUTION NUMBER 36, 2015

In Support of the Designation and Development of A National Marine Sanctuary in the Pennsylvania Waters of Lake Erie, Including Presque Isle Bay

WHEREAS, the National Marine Sanctuary Program was established in 1972 to preserve the extraordinary scenic beauty, biodiversity, historical connections, and economic productivity of the nation's most precious underwater treasures for future generations and to foster an understanding of our country's maritime heritage and landscape; and

WHEREAS, the National Oceanic and Atmospheric Administration (NOAA) Office of National Marine Sanctuaries serves as the trustee for a network of 14 protected marine sanctuaries encompassing more than 170,000 square miles of marine and Great Lakes waters throughout the nation; and

WHEREAS, for the first time in two decades, NOAA has invited communities across the nation to nominate their most treasured places in our marine and Great Lakes waters for consideration as national marine sanctuaries; and

WHEREAS, the Pennsylvania waters of Lake Erie, which encompasses 759 square miles and Presque Isle Bay, along Erie County, Pennsylvania, is home to 132 identified shipwrecks, which have archeological, historical and recreational value, none of which are listed on the National Register of Historic Places; and

WHEREAS, the County of Erie, on behalf of the Commonwealth of Pennsylvania, has recommended that a 759 square mile area in the Pennsylvania waters of Lake Erie be nominated for designation by the National Oceanic and Atmospheric Administration as a national marine sanctuary to preserve these historical resources; and

WHEREAS, Erie County encourages and supports the proposed sanctuary allow for recreational activities within its designated boundaries, including, but not limited to: recreational and commercial boating; charter, recreational, and commercial diving; charter, recreational, and commercial fishing; as well as activities promoting education and research; and

WHEREAS, establishment of the proposed national marine sanctuary will enhance recreational opportunities, preserve and protect valuable maritime resources; advance educational programming in the region; interpret the maritime and cultural history of the area; as a part of promoting tourism, a key component of economic development for the state and the region; and

WHEREAS, no state or local matching funds or on-going operational support are required in order to establish a national marine sanctuary; however, local resources and infrastructure may be used in partnership with NOAA to complement and enrich a national sanctuary project; and

RESOLUTION NUMBER 36, 2015

In Support of the Designation and Development of A Proposed National Marine Sanctuary in the Pennsylvania Waters of Lake Erie, Including Presque Isle Bay

NOW, THEREFORE, BE IT RESOLVED that the County of Erie hereby urges and supports the nomination, designation and development of the proposed national marine sanctuary in the Pennsylvania waters of Lake Erie, including Presque Isle Bay.

On the motion of _____, seconded by _____, this resolution was passed on this ____ day of _____, 2015 by a vote of __ to __.

APPROVED BY:

Fiore Leone, Chairman
Erie County Council

Kathy Dahlkemper,
County Executive

Date:_____

ATTEST:

Douglas R. Smith
County Clerk

Date:_____



NOAA Backgrounder

NOAA's National Marine Sanctuaries

Conservation



Sanctuaries provide food, shelter, and nursery areas for marine life.

Education



Sanctuaries are living classrooms.

Exploration



Discovery of the marine world unlocks the mysteries of our planet.

Our National Marine Sanctuaries

In 1972, in response to a growing awareness of the intrinsic environmental and cultural value of our coastal waters, Congress passed the National Marine Sanctuaries Act. The Act authorizes the Secretary of Commerce to designate discrete areas as national marine sanctuaries to promote comprehensive management of their special conservation, recreation, ecological, historical, research, educational or aesthetic resources. National marine sanctuaries may be designated in coastal and ocean waters, in submerged lands, and in the Great

Lakes and their connecting waters. To date, the nation has designated 13 marine sanctuaries. They include nearshore coral reefs and open ocean, and range in size from less than one to over 5,300 square miles.

Sanctuaries harbor a fascinating array of plants and animals, from the great whales to tiny, brightly colored sea snails. These protected waters provide a secure habitat for species close to extinction, and protect historically significant shipwrecks and prehistoric artifacts. They serve as natural classrooms and laboratories for school children and researchers alike.

A WORD ABOUT NOAA...

The National Oceanic and Atmospheric Administration (NOAA) conducts research and gathers data about the global oceans, atmosphere, space, and sun, and applies this knowledge to science and service that touch the lives of all Americans.

NOAA warns of dangerous weather, charts our seas and skies, guides our use and protection of ocean and coastal resources, and conducts research to improve our understanding and stewardship of the environment which sustains us all.

A Commerce Department agency, NOAA provides these services through five major organizations: the National Weather Service, the National Ocean Service, the National Marine Fisheries Service, the National Environmental Satellite, Data and Information Service, and Office of Oceanic and Atmospheric Research; and numerous special program units. In addition, NOAA research and operational activities are supported by the Nation's seventh uniformed service, the NOAA Corps, a commissioned officer corps of men and women who operate NOAA ships and aircraft, and serve in scientific and administrative posts.

For further information: NOAA Office of Public Affairs, 14th Street and Constitution Avenue NW, Room 6013, Washington, D.C. 20230. Phone: (202) 482-6090.



NATIONAL MARINE
SANCTUARIES

Contact Us

NOAA's National Marine Sanctuaries

1305 East-West Highway, 11th Floor
Silver Spring, MD 20910

Phone:

(301) 713-3125

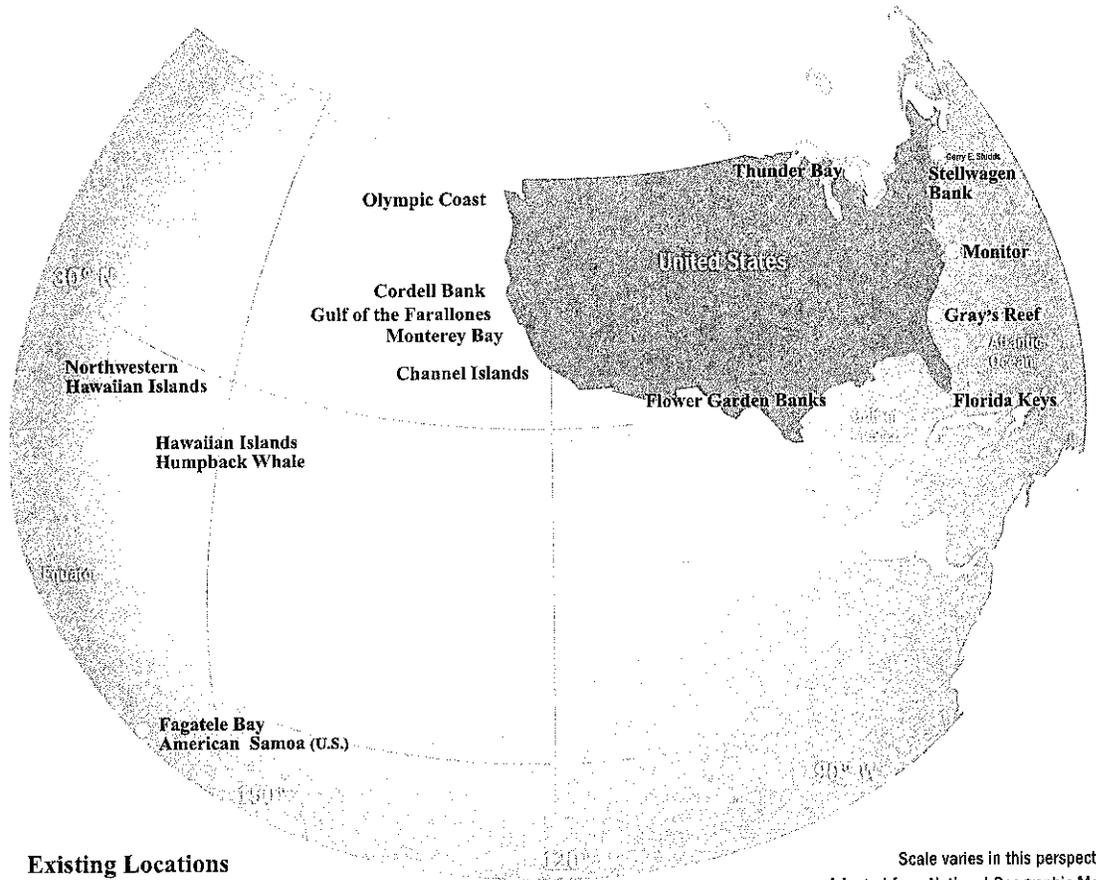
Fax:

(301) 713-0404

Email:

nmscomments@noaa.gov

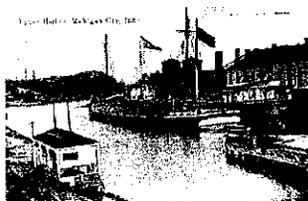
Sanctuaries are also cherished recreational spots for diving and sport fishing, and support valuable commercial industries such as fishing and kelp harvesting. Thus, part of the challenge of managing these areas is balancing environmental protection with economic growth. The marine sanctuaries are part of our collective riches as a nation. They are treasures that belong to all of us as citizens, that we have the right to enjoy and the responsibility to protect for future generations.



Existing Locations
Proposed

Scale varies in this perspective.
Adopted from National Geographic Maps.

Maritime Culture



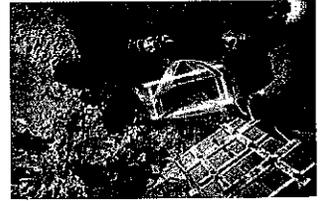
Sanctuaries are part of our nation's historical heritage.

Recreation



Sanctuaries contain our cherished places where we enjoy nature's beauty.

Science



Understanding our environment benefits our economy and health.

PROPOSED NATIONAL MARINE SANCTUARY LAKE ERIE QUADRANGLE

Background

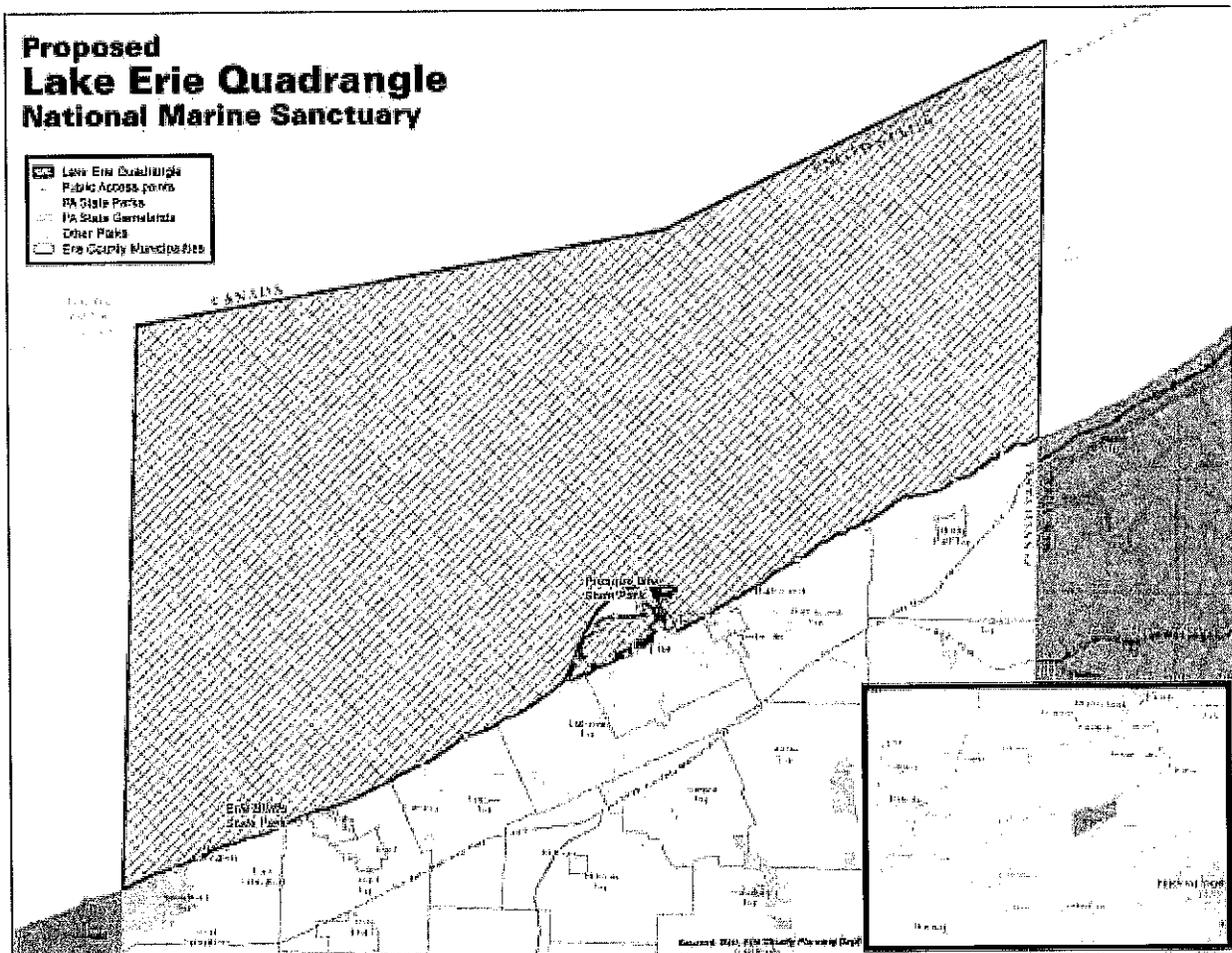
- For the first time in 20 years, NOAA is accepting nominations by communities to consider marine and Great Lakes waters as national marine sanctuaries.
- The County of Erie has taken the lead role to inform the public of this opportunity and gauge whether or not our community would like to move forward with a nomination.
- Prior to making a final decision on a submission, the County felt it was best to have as much outreach as possible with the public, businesses, municipalities, nonprofits and schools and universities.

What is a National Marine Sanctuary?

- A marine sanctuary is an area of protected water that preserves the scenic beauty, biodiversity, historical connections or economic productivity of our oceans and Great Lakes waters
- Marine sanctuaries can include rocky reefs, habitat or even underwater archeological sites – and provide safe habitat for species or protection of historically significant shipwrecks
- Each sanctuary is unique and can range in any size; from less than one to over 137,000 square miles

What/where is the proposed Erie County marine sanctuary?

- The County is proposing, at this time, a national marine sanctuary to include all Lake Erie waters located in Pennsylvania, including Presque Isle Bay
- ~759.1 square miles of Lake Erie's central basin
- 76.6 miles of Erie County, PA shoreline
- Name: Lake Erie Quadrangle



Why have a sanctuary?

- To protect the natural and cultural resources in our region, now and for future generations
- To help develop legislation to protect the shipwrecks on our lake floor
- These areas provide: a natural classroom for students, residents and visitors; a unique recreational spot or a story to tell of our maritime history
- Provides increased funding and ability for research and education in the region

Activities

Allowed: Currently the County is encouraging and promoting the proposed sanctuary allows for recreational activities within the designated boundaries; which include, but not limited to:

- Boating: recreational and commercial
- Diving: charter, recreational and commercial
- Education
- Fishing: charter, recreational, and commercial
- Research

Proposed Prohibited: The use of grappling hooks or other anchoring devices on any underwater cultural resource site that is marked with a mooring buoy

The Process

- Becoming a National Marine Sanctuary is no easy process and does not happen overnight.
- Their needs to be community support and if there is, then the County will submit a nomination to NOAA
- NOAA will do an initial review and take a close look at not only the nomination but most importantly proof of public support
 - The nomination then will either be accepted or denied – if accepted it will be added to their inventory
- The inventory is a list of successful nomination that NOAA could consider for potential designation as a sanctuary
 - *Sanctuary designation is a separate process that, by law, is highly participatory, and often takes several years to complete and is conducted by NOAA officials.

NOAA

- Who is NOAA? National Oceanic and Atmospheric Administration. The Office of National Marine Sanctuaries is part of NOAA and is responsible for the management of the designated underwater designations
- NOAA's Office of National Marine Sanctuaries is committed to building a stronger and resilient future for the nation's communities, ecosystems and economy
 - Serve and protect a network of 14 marine protected areas located throughout the nation

ORDINANCE NUMBER , 2015

2015 General Fund Budget Supplemental Appropriation Of \$33,500 And Creation of Line Items for Vehicle Donation to DA's Office From Western PA Fugitive Task Force

BE IT ENACTED by the County Council of the County of Erie pursuant to Article II Sections 3B(1), 3B(2)(f) and Article VIII, Section 8 of the Erie County Home Rule Charter; certified by the County Executive that the supplemental appropriation of \$33,500 and creation of line items in the 2015 General Fund Budget, for the donation of a vehicle to the District Attorney's Office from the Western Pennsylvania Fugitive Task Force, are hereby approved as outlined on the attached Exhibit A.

This ordinance shall be effective immediately upon adoption.

COUNTY COUNCIL OF THE
COUNTY OF ERIE, PENNSYLVANIA

Attest:

Douglas R. Smith
County Clerk

Fiore Leone, Chairman

Date: _____

Phil Fatica, Vice Chairman

Jay Breneman

Approved by:

Edward T. DiMattio, Jr.

Kathy Dahlkemper,
County Executive

Dr. Kyle Foust

Date: _____

André R. Horton

Carol J. Loll

**Exhibit A
 2015 General Fund Budget
 Supplemental Appropriation of \$33,500
 and Creation of New Line Items
 For the Donation of a Vehicle to the
 District Attorney's Office from the
 Western PA Fugitive Task Force**

| <u>Account Title</u> | <u>Account Number</u> | <u>Amount</u> |
|---------------------------|-----------------------|------------------------|
| <u>Total Revenue</u> | | |
| Donations | 001-003400-098580* | (33,500) |
| Total Revenue | | <u><u>(33,500)</u></u> |
| <u>Total Expenditures</u> | | |
| Vehicles | 001-003400-004020* | 33,500 |
| Total Expenditures | | <u><u>33,500</u></u> |

*Denotes Creation of a New Line Item

Mark

Mark E. Schau | Chief County Detective
Office of the Erie County District Attorney
140 West 6th Street | Erie, PA 16501
Phone: 814.451.6410 | Fax: 814.451.6419
mschau@eriecountygov.org

From: Rosenthal, Mark (USMS) [<mailto:Mark.Rosenthal@usdoj.gov>]
Sent: Thursday, June 11, 2015 4:37 PM
To: Schau, Mark
Subject: FW: Vehicle

From: Gallagher, Jon (USMS)
Sent: Thursday, June 11, 2015 3:58 PM
To: Rosenthal, Mark; Rosenthal, Mark (USMS)
Cc: Barnes, Stephen (USMS); Sensor, Chad (USMS)
Subject: Vehicle

Mark,

The Western Pennsylvania Fugitive Task Force (WPAFTF) received funding from the USMS-Investigative Operations Division (IOD) to receive a Joint Law Enforcement Operations (JLEO) vehicle and your agency is designated to receive it. Please find attached the required paperwork.

The vehicle will be purchased with Asset Forfeiture Funding in support of task force operations. The vehicle will be the property of the Erie County District Attorney's Office (ECDA) however it must be utilized by your department's representative assigned to the WPAFTF to support task force operations. If the task force is discontinued or your agency decides to withdraw from the task, the vehicle is to be used at the ECDA's discretion.

Please fill out the attached FY2015 JLEO Agency Information Form for vehicle orders. I have typed in sections applicable to the USMS. Please type in the remaining sections applicable to the ECDA, print the form out, sign and return it to me. Please review the guidelines below concerning the vehicle purchase/delivery:

- 1) The vehicle will not be delivered directly to the ECDA. The vehicle will be delivered to the General Services Administration (GSA) associated dealership closest to the ECDS. After the orders are placed, the ECDA POC will receive the delivery information.
- 2) The ECDA must provide a "responsible party" signature on the attached FY JLEO Agency Information Form to reflect you have designated someone who will receive the vehicle at the dealership and work with your motor pool to ensure the ECDA requirements are fulfilled to incorporate the new vehicle. ****Titling, registration and insurance of the vehicle are the ECDA's responsibility****
- 3) The mailing information provided by the ECDA must be the exact name and address that the vehicle will be titled and registered under. The order and certificate of origin will be under this name. The USMS does not assume any ownership over JLEO vehicles.

Ordering instructions:

- 1) Select a vehicle from the provided list

- 2) Complete the attached vehicle order form with the selected option number, make/model, color choice and engine block heater option.
- 3) Review the mailing/titling information with the WCSO motor pool and have the responsible party sign to verify it is correct. Check the form to make sure all fields are complete. Incomplete orders will be rejected.
- 4) Return the completed/signed form to me.
- 5) Vehicle paperwork (certificate of origin) will be mailed directly to the address provided by the ECDA

Please allow 120-160 days for vehicle delivery

If you have any questions please give me a call.

Regards

Jon Gallagher
Supervisory Deputy U.S. Marshal
Western District of Pennsylvania
412 728-6441 (cell)
412 644-5160 (fax)

ORDINANCE NUMBER , 2015

**2015 Library Fund Budget Supplemental Appropriation Of \$82,065 And
Creation Of Vehicle Line Item For District Services**

BE IT ENACTED by the County Council of the County of Erie pursuant to Article II, Sections 3B(1), 3B(2)(f) and Article VIII, Section 8 of the Erie County Home Rule Charter; certified by the County Executive that this 2015 Library Fund Budget supplemental appropriation of \$82,065 in District Aid Revenue, and the creation of *Vehicle* line item for District Services Activities, is hereby approved as outlined on the attached exhibit A.

This ordinance shall be effective immediately upon adoption.

COUNTY COUNCIL OF THE
COUNTY OF ERIE, PENNSYLVANIA

Attest:

Douglas R. Smith
County Clerk

Fiore Leone, Chairman

Date: _____

Phil Fatica, Vice Chairman

Jay Breneman

Approved by:

Edward T. DiMattio, Jr.

Kathy Dahlkemper,
County Executive

Dr. Kyle Foust

Date: _____

André R. Horton

Carol J. Loll

**Exhibit A
 2015 Library Fund Budget
 Supplemental Appropriation of \$82,065
 and Creation of a New Line Item for
 District Services**

| <u>Account Title</u> | <u>Account Number</u> | <u>Amount</u> |
|---------------------------|-----------------------|------------------------|
| <u>Total Revenue</u> | | |
| District Aid | 054-017200-058020 | (82,065) |
| Total Revenue | | <u><u>(82,065)</u></u> |
| <u>Total Expenditures</u> | | |
| Computer Supplies | 054-017200-003090 | 51,065 |
| Vehicle | 054-017200-004020* | 31,000 |
| Total Expenditures | | <u><u>82,065</u></u> |

*Denotes Creation of a New Line Item

ERIE COUNTY PUBLIC LIBRARY INTEROFFICE MEMORANDUM

TO: JAMES SPARBER, FINANCE DIRECTOR
FROM: MARY RENNIE, EXECUTIVE DIRECTOR *MR*
CC: SUE ELLEN PASQUALE, GARY LEE, TRACEY FUGAGLI ✓
SUBJECT: ORDINANCE REQUEST
DATE: 6/29/2015

I am submitting a request for an exhibit for an ordinance to appropriate \$82,065 from State Aid--District.

I am also requesting the establishment of a Vehicle line within the District budget.

The funds will be used to replace the District delivery van, which travels to libraries throughout Erie County, and to pay for improved connectivity to libraries throughout Erie and Crawford Counties. This is part of a negotiated agreement with District libraries throughout Erie and Crawford Counties and Commonwealth Libraries in expending deferred State Aid revenue.

I am requesting that this be moved to a second reading by County Council.

| Account name | Account number | Amount |
|-----------------------------|-------------------|----------|
| Revenue: | | |
| State Aid--District | 054-017200-058020 | \$82,065 |
| Expense: | | |
| District--Vehicle | 054-017200-004020 | \$31,000 |
| District--Computer Supplies | 054-017200-003090 | \$51,065 |

Thank you for your help. Please let me know if you need any additional information in this matter.

| | |
|--|---------------------------|
| Deferred Revenue @ 12/31/12 | 125,026.86 |
| Deferred Revenue @ 12/31/13 | 142,344.92 |
| | |
| Expenses July - December 2013 | 140,410.76 |
| Less: Adjustment for Prior Year | <u>(17,032.99)</u> |
| Adjusted Expense Total | 123,377.77 |
| | |
| Revenue July - December 2013 | (136,348.32) |
| | |
| Portion of Deferred Revenue attributable to the 2013/2014 Grant Year | <u><u>(12,970.55)</u></u> |
| | |
| Deferred Revenue @ 12/31/13 | 142,344.92 |
| | |
| Prior Year District Revenue | <u><u>129,374.37</u></u> |
| | |
| Ordinance 143, 2014 AWE - tablets. | 47,310.00 |
| | |
| Balance of Prior District Revenue | <u><u>82,064.37</u></u> |

ORDINANCE NUMBER . 2015

**2015 Children & Youth Services Fund Budget Revised Expenditures Of \$200,010 And
Creation Of Court Ordered Placement Line Items**

BE IT ENACTED by the County Council of the County of Erie pursuant to Article II, Sections 3B(1), and 3B(2)(f) of the Erie County Home Rule Charter that revised expenditures of \$200,010 and the creation of court ordered placement line items in the 2015 Children & Youth Services Fund Budget, are hereby approved as outlined on the attached Exhibit A.

This ordinance shall be effective immediately upon adoption.

COUNTY COUNCIL OF THE
COUNTY OF ERIE, PENNSYLVANIA

Attest:

Douglas R. Smith
County Clerk

Fiore Leone, Chairman

Date: _____

Phil Fatica, Vice Chairman

Jay Breneman

Approved by:

Edward T. DiMattio, Jr.

Kathy Dahlkemper,
County Executive

Dr. Kyle Foust

Date: _____

André R. Horton

Carol J. Loll

Exhibit A
2015 Children & Youth Services Fund Budget
Revised Expenditures of \$200,010 for the
Creation of Expense Line Items due to
Court Ordered Placements

| <u>Account Title</u> | <u>Account Number</u> | <u>Amount</u> |
|---------------------------|-----------------------|-----------------|
| <u>Total Expenditures</u> | | |
| FAMILIES UNITED NETWORK | 046-099000-706726* | 200,000 |
| FOSTER CARE-REGULAR | 046-099000-700621 | (200,000) |
| FAMILIES UNITED NETWORK | 047-099000-706726* | 10 |
| DONEGAL CENTER | 047-099000-706715 | (10) |
| Total Expenditures | | <u><u>0</u></u> |

* Denotes creation of new line item.

To: Kathy Dahlkemper, County Executive
County Council Members

From: Lana Rees/Patrick Ryan

Date: June 10, 2015

Subject: Rationale for Creation of Budget Lines

The attached Exhibit A reflects line item creations to the 2015 Children and Youth Fund budget.

These line items need to be created due to court ordered placements of children into a facility that was not used during the creation of the budget. Budget transfers will be used to transfer funds for these newly created line items.

This is a common occurrence with the Children and Youth Fund budget. Court ordered placements of children often occur to facilities that have never been used, thus requiring a new line item. Budget transfers from existing placement expense lines that are either being underutilized or not used this year will fund this new placement expenses.

There is **0.00** increase or decrease in County funds projected at this time.

If you have any questions, please contact me at your convenience.

ORDINANCE NUMBER , 2015

**2015 Public Health Fund Budget Supplemental Appropriation Of \$3,744 And
Creation of *Training* Line Item For Tuberculosis Grant Bureau**

BE IT ENACTED by the County Council of the County of Erie pursuant to Article II, Sections 3B(1), 3B(2)(f) and Article VIII, Section 8 of the Erie County Home Rule Charter, and certified by the County Executive, that this supplemental appropriation of \$3,744 and the creation of a ***Training*** line item in the Tuberculosis Grant Bureau of the 2015 Public Health Fund Budget, is hereby approved as outlined on the attached Exhibit A.

This ordinance shall be effective immediately upon adoption.

COUNTY COUNCIL OF THE
COUNTY OF ERIE, PENNSYLVANIA

Attest:

Douglas R. Smith
County Clerk

Fiore Leone, Chairman

Date:_____

Phil Fatica, Vice Chairman

Jay Breneman

Approved by:

Edward T. DiMattio, Jr.

Kathy Dahlkemper,
County Executive

Dr. Kyle Foust

Date:_____

André R. Horton

Carol J. Loll

**Exhibit A
 2015 Public Health Fund Budget
 Supplemental Appropriation of \$3,744
 and Creation of a New Line Item
 For Tuberculosis Grant**

| <u>Account Title</u> | <u>Account Number</u> | <u>Amount</u> |
|---------------------------|-----------------------|-----------------------|
| <u>Total Revenue</u> | | |
| Grant | 056-018021-051300 | (3,744) |
| Total Revenue | | <u><u>(3,744)</u></u> |
| <u>Total Expenditures</u> | | |
| Travel Work Required | 056-018021-002010 | 3,474 |
| Training | 056-018021-002040* | 270 |
| Total Expenditures | | <u><u>3,744</u></u> |

* Denotes creation of a new line item

ERIE COUNTY DEPARTMENT OF HEALTH



Melissa C. Lyon, MPH
Director

Kathy Dahlkemper
County Executive

Date: June 5, 2015

To: James Sparber, Finance Director

From: Melissa Lyon, Director, ECDH

A handwritten signature in black ink, appearing to be "ML", written over the "From:" line.

Cc: Charlotte Berringer, Director, Community Health Services

Tracey Fugagli, Assistant Accounting Manager

RE: Request for Ordinance for TB Budget for FY 2015

The Health Department TB Bureau (056-018021) requests an ordinance to revise the county budget to match Pennsylvania Department of Health reimbursement.

The January – June 2015 TB County Budget has received additional funding from the Pennsylvania Department of Health to allow TB staff to attend mandatory training.

The Health Department also requests the addition of the Training 056-018021-002040 line item.

Revenue:

1. Grant 056-018021-51300 increase \$3744

Expense:

1. Travel 056-018021-002010 increase \$3474
2. Training 056-018021-002040 increase \$270

Please contact me at 451-6701 or by email, mlyon@eriecountygov.org for additional information.

Thank You.

C:\Users\annette\Documents\9 TB Grant & County Budgets\TB County Budgets\2015 TB County Budget\2015 Ordinance Request SAF 2 - May 22, 2015.docx

Appendix C-2

BUDGET SUMMARY

Erie County Department of Health
 SAP # 410065363
 July 1, 2014 - June 30, 2015

| CATEGORIES | Original Budget | SAF2 | Total Budget |
|---------------------------|-----------------|----------|--------------|
| I. PERSONNEL SERVICES | 20,004.57 | - | 20,004.57 |
| II. CONSULTANT SERVICES | 11,807.87 | - | 11,807.87 |
| III. SUBCONTRACT SERVICES | - | - | - |
| IV. PATIENT SERVICES | - | - | - |
| V. EQUIPMENT | - | - | - |
| VI. SUPPLIES | 15,560.32 | - | 15,560.32 |
| VII. TRAVEL | 7,659.43 | 3,478.99 | 11,127.96 |
| VIII. OTHER COSTS | 1,445.00 | 270.00 | 1,715.00 |
| TOTAL | 56,271.19 | 3,748.99 | 60,016.12 |

ORDINANCE NUMBER , 2015

**2015 Public Health Fund Budget Supplemental Appropriation Of \$16,538 For
Safe & Healthy Communities Grant Bureau**

BE IT ENACTED by the County Council of the County of Erie pursuant to Article II, Sections 3B(1), 3B(2)(f) and Article VIII, Section 8 of the Erie County Home Rule Charter, and certified by the County Executive, that this supplemental appropriation of \$16,538 to the Safe & Healthy Communities Grant Bureau of 2015 Public Health Fund Budget, is hereby approved as outlined on the attached Exhibit A.

This ordinance shall be effective immediately upon adoption.

COUNTY COUNCIL OF THE
COUNTY OF ERIE, PENNSYLVANIA

Attest:

Douglas R. Smith
County Clerk

Fiore Leone, Chairman

Date: _____

Phil Fatica, Vice Chairman

Jay Breneman

Approved by:

Edward T. DiMattio, Jr.

Kathy Dahlkemper,
County Executive

Dr. Kyle Foust

Date: _____

André R. Horton

Carol J. Loll

**Exhibit A
 2015 Public Health Fund Budget
 Supplemental Appropriation of \$16,538
 For Safe and Healthy Communities
 Grant**

| <u>Account Title</u> | <u>Account Number</u> | <u>Amount</u> |
|---------------------------|-----------------------|-----------------|
| <u>Total Revenue</u> | | |
| Grant | 056-018061-051300 | (16,538) |
| Total Revenue | | <u>(16,538)</u> |
| <u>Total Expenditures</u> | | |
| Professional Fees | 056-018061-002520 | 7,174 |
| All Other Supplies | 056-018061-003330 | 9,364 |
| Total Expenditures | | <u>16,538</u> |

ERIE COUNTY DEPARTMENT OF HEALTH

ecdh.org



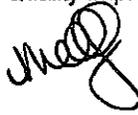
Melissa C. Lyon, MPH
Director

Kathy Dahlkemper
County Executive

Date: June 22, 2015

TO: James Sparber, Director
Erie County Finance Department

CC: Kathy Dahlkemper, County Executive
Gary Lee, Director of Administration
Tracey Fugagil, Asst. Manager of Finance
Kim Sayre, Project Secretary, Health Promotion
Chelsey Ricketts, ECDH Fiscal Officer
Nicole Bolash, Health Promotion & Quality Improvement Director

FROM: Melissa C. Lyon, MPH, Director
Erie County Department of Health 

RE: Request for Ordinance to Increase the Safe & Healthy Communities Bureau (056-018061)

This is a request for a County of Erie Ordinance to increase the Revenue Grant line item and the Profession Fees & All Other Supplies line items in the Safe & Healthy Communities (SHC) bureau:

| Bureau | Line Item Name | Line Item No. | Amount |
|----------------------------|--------------------|-------------------|------------|
| Safe & Healthy Communities | Revenue | 056-018061-051300 | (\$16,538) |
| Safe & Healthy Communities | Professional Fees | 056-018061-002520 | \$7,174 |
| Safe & Healthy Communities | All Other Supplies | 056-018061-003330 | \$9,364 |

The reason for the increase is due to heavy spending in the second half of the grant year 7/1/14 – 6/30/15. Many of our deliverables could not be completed until the second half. Our ending 2014 county budget balance was \$16,544.28.

This program is 100% State Grant funded. There are no county funds involved in this request.

Thank you for your support and assistance. If you have any questions, please contact me at ext. 6701.

ORDINANCE NUMBER , 2015

**2015 Public Health Fund Budget Supplemental Appropriation Of \$1,353 From
Funding Reduction In The Immunization Grant Bureau**

BE IT ENACTED by the County Council of the County of Erie pursuant to Article II, Sections 3B(1), 3B(2)(f) and Article VIII, Section 8 of the Erie County Home Rule Charter; certified by the County Executive that this supplemental appropriation of \$1,353 from a funding reduction in the Immunization Grant Bureau of 2015 Public Health Fund Budget, is hereby approved as outlined on the attached Exhibit A.

This ordinance shall be effective immediately upon adoption.

COUNTY COUNCIL OF THE
COUNTY OF ERIE, PENNSYLVANIA

Attest:

Douglas R. Smith
County Clerk

Fiore Leone, Chairman

Date: _____

Phil Fatica, Vice Chairman

Jay Breneman

Approved by:

Edward T. DiMattio, Jr.

Kathy Dahlkemper,
County Executive

Dr. Kyle Foust

Date: _____

André R. Horton

Carol J. Loll

**Exhibit A
 2015 Public Health Fund Budget
 Supplemental Appropriation of \$1,353
 for Reduction in Funding of the
 Immunization Grant**

| <u>Account Title</u> | <u>Account Number</u> | <u>Amount</u> |
|---------------------------|-----------------------|----------------|
| <u>Total Revenue</u> | | |
| Grant | 056-018860-051300 | 1,353 |
| Total Revenue | | <u>1,353</u> |
| <u>Total Expenditures</u> | | |
| Professional Fees | 056-018860-002520 | (1,350) |
| All Other Supplies | 056-018860-003330 | (3) |
| Total Expenditures | | <u>(1,353)</u> |

ERIE COUNTY DEPARTMENT OF HEALTH



Melissa C. Lyon, MPH
Director

Kathy Dahlkemper
County Executive

Date: June 5, 2015

To: James Sparber, Finance Director

From: Melissa Lyon, Director, ECDH 

Cc: Charlotte Berringer, Director, Community Health Services

Tracey Fugagli, Assistant Accounting Manager

RE: Request for Ordinance for Immunization Budget for FY 2015

The Health Department Immunization Bureau (056-018860) requests an ordinance to revise the county budget to match Pennsylvania Department of Health reimbursement.

The January – June 2015 County Immunization Budget has received a small reduction in funding from the Pennsylvania Department of Health. The reduction will be absorbed in professional fees related to interpreter services. An alternate funding source for most interpretive services in the program is being utilized.

The Health Department requests the following:

Revenue:

1. Grant 056-018860-51300 decrease \$1353

Expense:

1. Professional Fees- 056-018860-002520-decrease \$1350
2. All Other Supplies- 056-018860-003330-decrease \$3

Please contact me at 451-6701 or by email, mlyon@eriecountygov.org for additional information.

Thank You.

C:\Users\annette\Documents\9 TB Grant & County Budgets\TB County Budgets\2015 TB County Budget\2015 Ordinance Request SAF 2 - May 22, 2015.docx



MAY 22 2015

Ms. Melissa C. Lyon
Erie County Department of Health
606 West 2nd Street
Erie, PA 16507-1111

Re: Funding Reduction Change Order
Agreement # 4100067464 FRCO1

Dear Ms. Lyon:

As a result of reduced funds available to the Department of Health for the services provided for in the above referenced Agreement number, and pursuant to Paragraph II of the original agreement, the Department is providing notification of a reduction in funding.

The attached budget, Appendix C-1 is incorporated into the agreement and replaces Appendix C for the budget period January 1, 2015 through June 30, 2015.

This is the only correspondence that will be issued by the Department regarding this reduction. Please retain for your records.

All other terms and conditions of this agreement remain unchanged.

If you have any questions regarding this reduction, you may contact the Project Officer, Amanda Santana at (717) 787-5681.

Sincerely,

A handwritten signature in black ink, appearing to read 'Terri A. Matio', written over a horizontal line.

Terri A. Matio, Director
Bureau of Administrative and Financial Services

A handwritten signature in black ink, appearing to read 'D. P. ...', written over a horizontal line.

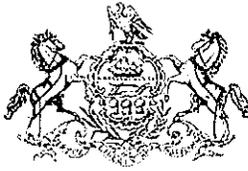
Comptroller
Confirmation of Availability of Funds

Attachments

Appendix C-1
BUDGET SUMMARY

Erie County Department of Health
 SAP # 4100067464
 January 1, 2015 - June 30, 2015

| CATEGORIES | Original Budget | FRCO 1 | Total Budget |
|---------------------------|-------------------|-------------------|-------------------|
| I. PERSONNEL SERVICES | 165,178.33 | - | 165,178.33 |
| II. CONSULTANT SERVICES | 2,600.00 | (1,350.00) | 1,250.00 |
| III. SUBCONTRACT SERVICES | 4,908.40 | - | 4,908.40 |
| IV. PATIENT SERVICES | - | - | - |
| V. EQUIPMENT | - | - | - |
| VI. SUPPLIES | 2,504.66 | (3.00) | 2,501.66 |
| VII. TRAVEL | 3,314.00 | - | 3,314.00 |
| VIII. OTHER COSTS | 13,869.61 | - | 13,869.61 |
| TOTAL | 192,375.00 | (1,353.00) | 191,022.00 |



COUNTY OF ERIE

OFFICE OF COUNTY EXECUTIVE

Kathy Dahlkemper
COUNTY EXECUTIVE

MEMORANDUM

TO: Members of County Council

FROM: Kathy Dahlkemper, 
County Executive

DATE: July 6, 2015

RE: Erie Metropolitan Transit Authority

As County Executive, I hereby convey notice of my appointment of Mr. Stephen A. Schillo to the Erie Metropolitan Transit Authority. This will be a first term for Mr. Schillo who will replace Dr. Scott E. Miller. This appointment will be effective July 22, 2015 and is set to expire December 31, 2019.

Thank you.

cc: Douglas Smith, Clerk
Michael Tann, Director – Erie Metropolitan Transit Authority

STEPHEN A. SCHILLO

RESIDENCE: 11922 Seitzinger Rd.
North East, PA 16428

PROFESSIONAL EXPERIENCE

Vice President for Management & Business

SUNY Fredonia (1/12 – 1/13)

Chief Finance & Business Officer for university with overall responsibility for institution-wide financial policy & management.

Vice President for Management & Business

Duquesne University (7/02 – 1/12)

Chief Finance & Business Officer for university with overall responsibility for institution-wide financial policy & management. Leadership & direction of: Controller, Accounts Receivable & Payable, Planning & Budget, Information Technology, Human Resources, Internal Audit, Facilities Management, Public Safety, Auxiliary Services, DU Card Program, Parking and Traffic Management, Purchasing, Telecommunications, Risk Management, Energy Management, Government Relations, and Real Estate. Report to & advise President & Board of Directors and represent University to all external constituents as appropriate.

Vice President for Finance & Administration

Gannon University (7/96 – 6/02)

Chief Finance & Business Officer for university with overall responsibility for institution-wide financial policy & management. Leadership & direction of: Controller, Accounts Receivable, Budget & Planning Office, Human Resources, Information Technology Services, Physical Plant, Campus Police & Safety, Campus Stores & GUGold Card Program, Purchasing, Real Estate, Project Management and Internal Audit. Report to & advise President & Board of Trustees and represent University to all external constituents as appropriate.

- Establish overall strategic plans & management objectives for Finance & Administration Division.
- Chair university's Information Technology Governance Council & work collaboratively across the campus to establish strategic technology plans & initiatives.
- Develop & implement campus master plan, real estate strategies, & manage all capital projects.
- Work collaboratively with city, county, state, & federal officials on regional economic development initiatives.
- Endowment Fund policy development & implementation.
- Debt administration & cash management.

Associate Vice President – Chief Finance Officer for the Main Campus

Georgetown University (10/93 – 7/96)

Overall responsibility for the financial management of Main Campus budgets, financial operations and results - \$215 million annually. Executive management responsibility for: Budget Office, Office of Student Financial Services, Student Facilities & Services, Academic Computing Center, Main Campus Facilities Planning & Project Management and Office of Sponsored Programs.

- Establish overall management objectives, strategic plans, and budgets for units.
- Direct design & implementation of PC-based management information system to strengthen Main Campus management and support cost analyses and restructuring.

- Report to Trustees, President, and Executive Vice President for Main Campus on financial forecasts, operations and initiatives.

**Associate Vice President for Business Affairs & University Planning (8/90 – 10/93)
Carnegie Mellon University (1/87-10/93)**

Executive management of Campus Services Unit including Physical Plant, Design & Construction, Real Estate, Purchasing, Receiving & Stores, Dining Services, Retail Services, Environmental Health & Safety, Parking, Post Office and (through 11/91) EEO/Affirmative Action Programs and Human Resources. Responsibilities included:

- Established overall management objectives and strategic plans for Campus Services unit.
- Reported to Trustees, President and Vice President for Business Affairs on Campus Services initiatives, requirements and operations.
- Oversaw labor relations and conducted collective bargaining negotiations.
- Overall budget and personnel accountability for over \$20 million operating budget, 400 employees and capital program over \$50 million.
- Developed and executed real estate strategies.

Director of Business Services, Carnegie Mellon University (11/87 – 8/90)

Executive management responsibility for Human Resources, Purchasing, and Budget Departments as well as EEO/Affirmative Action Programs and Indirect Costs Administration.

Instructor, Carnegie Mellon, H. John Heinz III School of Public Policy & Management (1/89 – 3/89)

Budget Director, Carnegie Mellon University (1/87 – 11/87)

Overall management responsibility for preparation and monitoring of University's operating and capital budgets. Responsibilities included:

- Revenue and expenditure forecasting; policy analyses concerning fiscal trends in higher education.
- Preparation and presentation of operating and capital budgets to senior management and Trustees.
- Review and approve budget amendments as to compliance with budget procedures and policy.

City & School Treasurer/Deputy Director of Finance (1/83 – 1/87), City of Pittsburgh (1/78 – 1/87)

Executive management of all Department of Finance functions (approx. 140 employees).

- Collect and audit compliance with all City of Pittsburgh and School Board taxes.
- Establish and enforce tax administration policy and regulations.
- Structure and complete City and related authorities' capital financings.
- Direct overall cash and investment management function and maintain effective banking relations.
- Oversee operations of City Budget and Research Office responsible for revenue/expenditure forecasting, budget formulation, and City-wide fiscal control.
- Administer City payroll and benefits programs (approx. 5,200 employees).
- Represent Administration before City Council, banking/financial community and other governmental entities

Treasurer, Board of Directors, Pittsburgh Water & Sewer Authority (2/84 – 1/87)

Board level policy direction, collection and forecasting of all Authority revenues (unpaid).

Treasurer, Board of Directors, Pittsburgh Countywide Corporation (3/85-1/87)

Board level policy direction of organization making federally funded small business loans (unpaid).

Budget & Research Officer/Assistant Director of Finance, City of Pittsburgh (1/82 – 1/83)

- Forecast and monitor all City revenue and expenditures.
- Preparation and production of the administration's proposed Annual Operating & Capital Budget.
- Analysis and control of Administration's agenda of legislation submitted to City Council.

EDUCATION

Master of Science, Public Management and Policy Analysis, H. John Heinz III School of Public Policy & Management, Carnegie Mellon University (1978).

- Program stressed multi-disciplinary approaches to management and problem solving. Relevant courses included: micro/macro/welfare economics, cost-benefit analysis, econometrics, statistics and multivariate analysis, MIS, organizational analysis, operations research, financial and governmental accounting.

Bachelor of Arts, Psychology and Economics, Carnegie Mellon University (1975)

SELECTED POST GRADUATE TRAINING

- **Harvard Institute for Education Management** sponsored by Harvard Graduate School of Education. Three week program presented an in-depth review of the major issues facing leaders in higher education.
- **Leadership Pittsburgh** sponsored by Greater Pittsburgh Chamber of Commerce.
- **Real Estate Fundamentals, Law and Practice** sponsored by the Pittsburgh Board of Realtors.
- **Better Negotiating** sponsored by the offered by Dr. Chester Karrass.
- **Multi-Year Revenue and Expenditure Forecasting Workshop** Sponsored by the Management, Finance and Personnel Task Force of the Urban Consortium.

PAST BOARD MEMBERSHIPS

- Pittsburgh Central Keystone Innovation Zone, Board Chair
- Regional Development Funding Corporation, Board Member
- Middle States Commission on Higher Education, Financial Reviewer
- National and Eastern Associations of College and University Business Officers
- Leadership Pittsburgh Alumni Association
- Hill District Consensus Group, Board Member
- Pennsylvania Department of Education, Financial Reviewer
- Allegheny County Commission on Work Force Excellence, Secretary, Public-private job training partnership
- Pittsburgh Countywide Corporation, Member, Board of Directors of regional economic development agency
- Citizen's League of Pittsburgh, Member, Board of Directors
- Big Brothers and Big Sisters of Greater Pittsburgh Inc., Treasurer, Board of Directors
- Pittsburgh Film-Makers, Member, Board of Directors
- Greater Pittsburgh Commission on Women, Commission Member
- Greenfield Organization, Member, Board of Directors of Community Organizations
- Urban Erie Community Development Corporation, Treasurer, Board of Directors
- St. Vincent Health System Board of Corporators
- North East Area Citizens Intergovernmental Study Group
- Metropolitan Erie Rotary
- Financial Executives Institute

- Mayor's Commission on Public Education
- Uptown Partners of Pittsburgh, Treasurer
- Hill District Growth Fund

REFERENCES

Furnished upon request.



COUNTY OF ERIE
DEPARTMENT OF FINANCE
BUREAU OF REVENUE AND TAX CLAIM
ERIE COUNTY COURT HOUSE
140 WEST SIXTH STREET RM. 110
ERIE, PENNSYLVANIA 16501
(814) 451-6206
(814) 451-7484

KATHY DAHLKEMPER
COUNTY EXECUTIVE

STEVEN A. LETZELTER
DIRECTOR

RE: Sale of Parcel from Repository for Unsold Properties

Mr. Sparber:

Act No. 1998-69 requires that the Erie County Tax Claim Bureau notify each taxing district of any offer to purchase property from the County Repository for unsold properties, and secure the consent of the appropriate districts prior to any such sale. The districts may not unreasonably withhold their consent.

The Tax Claim Bureau has received an offer to purchase the property commonly known as and identified in the assessment records of Erie County as Index **05-021-104.0-002.00** 539 CATHERINE ST 172X260 IRR This offer was made by **Weston Milligan** who is willing to purchase that parcel for the sum of \$250.00 **Proceeds of this sale will be applied to costs advanced by the Bureau for collection first, in accordance with Section 205 of the Real Estate Tax Sale Law Act 542 of 1947, as amended.**

Please place this offer to purchase on the agenda for your next regularly scheduled meeting and take official action on this proposed sale. After the meeting, complete the enclosed form and return it immediately to the Erie County Tax Claim Bureau at the above address.

If there are questions or concerns regarding the procedure, the property, or the offer, please contact Jean Rodemaker at the Erie County Tax Claim Bureau at 451-6202.

Thank you,

Steven A Letzelter, Director
Erie County Tax Claim Bureau

TO: Erie County Tax Claim Bureau
Erie County Courthouse
140 West Sixth Street, Room 110
Erie, PA 16501

RE: Property: 539 CATHERINE ST 172X260 IRR
Index No: 05-021-104.0-002.00
Offer of: \$250.00 by Weston Milligan

At our regular/special meeting on, _____
We took action regarding the sale of the property referenced above from The Erie County Repository,
By the purchaser and for the amount specified. The proposed sale was:

APPROVED

DENIED*

*Please state reason for denial. _____

Signed: _____

For: _____

Date: _____

TO: Erie County Tax Claim Bureau
Erie County Courthouse
140 West Sixth Street, Room 110
Erie, PA 16501

RE: **Property:** 539 CATHERINE ST 172X260 IRR
Index No: 05-021-104.0-002.00
Offer of: \$250.00 by Weston Milligan

At our regular/special meeting on, April 6, 2015
We took action regarding the sale of the property referenced above from The Erie County
Repository,
By the purchaser and for the amount specified. The proposed sale was:

APPROVED
 DENIED*

*Please state reason for denial. _____

Signed: Jane Smith
For: City of Corry / City Clerk
Date: 4/6/15

TO: Erie County Tax Claim Bureau
Erie County Courthouse
140 West Sixth Street, Room 110
Erie, PA 16501

RE: **Property:** 539 CATHERINE ST 172X260 IRR
Index No: 05-021-104.0-002.00
Offer of: \$250.00 by Weston Milligan

At our regular/special meeting on, May 4, 2015
We took action regarding the sale of the property referenced above from The Erie County
Repository,
By the purchaser and for the amount specified. The proposed sale was:

APPROVED

DENIED*

*Please state reason for denial. _____

Signed: Victoria L. Anderson
For: Corry Area School District
Date: May 5, 2015



COUNTY OF ERIE
DEPARTMENT OF FINANCE
BUREAU OF REVENUE AND TAX CLAIM
ERIE COUNTY COURT HOUSE
140 WEST SIXTH STREET RM. 110
ERIE, PENNSYLVANIA 16501
(814) 451-6206
(814) 451-7484

KATHY DAHLKEMPER
COUNTY EXECUTIVE

STEVEN A. LETZELTER
DIRECTOR

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The Tax Claim Bureau has received an offer to purchase the property commonly known as and identified in the assessment records of Erie County as Index **07-012-047.0-001.00** ROUTE 6 32 X 12 X 30 TRI This offer was made by **Charter Foods North Inc** who is willing to purchase that parcel for the sum of \$250.00 **Proceeds of this sale will be applied to costs advanced by the Bureau for collection first, in accordance with Section 205 of the Real Estate Tax Sale Law Act 542 of 1947, as amended.**

Please place this offer to purchase on the agenda for your next regularly scheduled meeting and take official action on this proposed sale. After the meeting, complete the enclosed form and return it immediately to the Erie County Tax Claim Bureau at the above address.

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Thank you,

Steven A Letzelter, Director
Erie County Tax Claim Bureau

TO: Erie County Tax Claim Bureau
Erie County Courthouse
140 West Sixth Street, Room 110
Erie, PA 16501

RE: Property: ROUTE 6 32 X 12 X 30 TRI
Index No: 07-012-047.0-001.00
Offer of: \$250.00 by Charter Foods North LLC

At our regular/special meeting on, _____
We took action regarding the sale of the property referenced above from The Erie County Repository,
By the purchaser and for the amount specified. The proposed sale was:

APPROVED

DENIED*

*Please state reason for denial. _____

Signed: _____

For: _____

Date: _____

Erie County Tax Claim Bureau

TO: Erie County Tax Claim Bureau
Erie County Courthouse
140 West Sixth Street, Room 110
Erie, PA 16501

RE: **Property:** ROUTE 6 32 X 12 X 30 TRI
Index No: 07-012-047.0-001.00
Offer of: \$250.00 by Charter Foods North, LLC

At our regular/special meeting on, April 6, 2015
We took action regarding the sale of the property referenced above from The Erie County
Repository,
By the purchaser and for the amount specified. The proposed sale was:

APPROVED
 DENIED*

*Please state reason for denial. _____

Signed: *Jane Smith*
For: City of Corry / City Clerk
Date: 4/6/15

Erie County Tax Claim Bureau

TO: Erie County Tax Claim Bureau
Erie County Courthouse
140 West Sixth Street, Room 110
Erie, PA 16501

RE: **Property:** ROUTE 6 32 X 12 X 30 TRI
Index No: 07-012-047.0-001.00
Offer of: \$250.00 by Charter Foods North, LLC

At our regular/special meeting on, May 4, 2015
We took action regarding the sale of the property referenced above from The Erie County
Repository,
By the purchaser and for the amount specified. The proposed sale was:

APPROVED

DENIED*

*Please state reason for denial. _____

Signed: Victoria L. Anderson
For: Corry Area School District
Date: May 5, 2015



COUNTY OF ERIE
DEPARTMENT OF FINANCE
BUREAU OF REVENUE AND TAX CLAIM
ERIE COUNTY COURT HOUSE
140 WEST SIXTH STREET RM. 110
ERIE, PENNSYLVANIA 16501
(814) 451-6206
(814) 451-7484

KATHY DAHLKEMPER
COUNTY EXECUTIVE

STEVEN A. LETZELTER
DIRECTOR

RE: Sale of Parcel from Repository for Unsold Properties

Mr. Sparber:

Act No. 1998-69 requires that the Erie County Tax Claim Bureau notify each taxing district of any offer to purchase property from the County Repository for unsold properties, and secure the consent of the appropriate districts prior to any such sale. The districts may not unreasonably withhold their consent.

The Tax Claim Bureau has received an offer to purchase the property commonly known as and identified in the assessment records of Erie County as Index **07-012-047.1-006.00** ROUTE 6 TR 33 0.24 AC This offer was made by **Greater Corry Area Broadcasting LLP** who is willing to purchase that parcel for the sum of \$500.00 **Proceeds of this sale will be applied to costs advanced by the Bureau for collection first, in accordance with Section 205 of the Real Estate Tax Sale Law Act 542 of 1947, as amended.**

Please place this offer to purchase on the agenda for your next regularly scheduled meeting and take official action on this proposed sale. After the meeting, complete the enclosed form and return it immediately to the Erie County Tax Claim Bureau at the above address.

If there are questions or concerns regarding the procedure, the property, or the offer, please contact Jean Rodemaker at the Erie County Tax Claim Bureau at 451-6202.

Thank you,

Steven A Letzelter, Director
Erie County Tax Claim Bureau

TO: Erie County Tax Claim Bureau
Erie County Courthouse
140 West Sixth Street, Room 110
Erie, PA 16501

RE: Property: ROUTE 6 TR 33 0.24 AC
Index No: 07-012-047.1-006.00
Offer of: \$500.00 by Greater Corry Area Broadcasting LLP.

At our regular/special meeting on, _____
We took action regarding the sale of the property referenced above from The Erie County Repository,
By the purchaser and for the amount specified. The proposed sale was:

APPROVED

DENIED*

*Please state reason for denial. _____

Signed: _____

For: _____

Date: _____

Erie County Tax Claim Bureau

TO: Erie County Tax Claim Bureau
Erie County Courthouse
140 West Sixth Street, Room 110
Erie, PA 16501

RE: **Property:** ROUTE 6 TR 33 0.24 AC
Index No: 07-012-047.1-006.00
Offer of: \$500.00 by Greater Corry Area Broadcasting, LLP

At our regular/special meeting on, April 20, 2015
We took action regarding the sale of the property referenced above from The Erie County
Repository,
By the purchaser and for the amount specified. The proposed sale was:

APPROVED

DENIED*

*Please state reason for denial. _____

Signed: James M. Smith City Clerk
For: City of Corry
Date: 5/20/15

Erie County Tax Claim Bureau

TO: Erie County Tax Claim Bureau
Erie County Courthouse
140 West Sixth Street, Room 110
Erie, PA 16501

RE: **Property:** ROUTE 6 TR 33 0.24 AC
Index No: 07-012-047.1-006.00
Offer of: \$500.00 by Greater Corry Area Broadcasting, LLP

At our regular/special meeting on, May 4, 2015
We took action regarding the sale of the property referenced above from The Erie County
Repository,
By the purchaser and for the amount specified. The proposed sale was:

APPROVED

DENIED*

*Please state reason for denial. _____

Signed: Victoria L. Anderson
For: Corry Area School District
Date: May 5, 2015

| |
|--|
| County of Erie Analysis of General Fund Unassigned Fund Balance FYE 12/31/15 As of July 1, 2015 |
|--|

| | |
|--|------------|
| Projected Ending 2015 Unassigned Fund Balance | 31,878,943 |
|--|------------|

Less: Appropriations from Unassigned Fund Balance

| | |
|--|----------|
| Ordinance #13 of 2015 Supp Approp for Correction of Asst Public Defender Salary | (8,557) |
| Ordinance #21 of 2015 Supp Approp for Assessment Bureau County Portion of Erie Insurance Appraisal | (8,250) |
| Ordinance #28 of 2015 Supp Approp to Establish Assistant Clerk of Records in Clerk of Courts | (6,015) |
| Ordinance #36 of 2015 Supp Approp to Establish Vacation Line in Public Safety | (12,000) |
| Ordinance #43 of 2015 Supp Approp to Assessment for GIS Training | (38,277) |
| Ordinance#51 of 2015 Supp Approp for Pcorp Grant for Safety Improvements at the Prison | (12,616) |
| Ordinance#52 of 2015 Supp Approp for increase in MDJ Stuck-Lewis rent | (8,208) |
| Ordinance#62 of 2015 Supp Approp for Additional Benefits for Voter Registration | (17,369) |
| Ordinance#64 of 2015 Supp Approp for Release of Register of Wills Automation Restricted Fund Balance | (24,166) |
| Ordinance#68 of 2015 Supp Approp for Change in Director of Planning | (12,363) |
| Ordinance #71 of 2015 Supp Approp for Children and Youth 13 Positions | (56,927) |
| Ordinance# of 2015 Supp Approp for Additional Temporary Staff for Sheriff's Office | (11,451) |
| Ordinance# of 2015 Supp Approp Correction of OCY Ordinance #71 | (203) |

| | |
|---|-----------|
| Total Appropriations from Unassigned Fund Balance | (216,402) |
|---|-----------|

Plus: Appropriations to Unassigned Fund Balance

| | |
|---|--------|
| Ordinance # 172 of 2014 for 2015 Supp Approp for Director of Procurement Salary | 519 |
| Ordinance #11 of 2015 Supp Approp for Supervisor of Custody Conciliation | 3,241 |
| Ordinance #27 of 2015 Supp Approp for Change in Court Reporter Salary | 26,656 |
| Ordinance #29 of 2015 Supp Approp to Establish First Deputy Prothonotary and Eliminate Assistant Cler | 34,391 |
| Ordinance#48 of 2015 Supp Approp to Eliminate the Director of Strategic Initiatives Position | 4,119 |
| Ordinance#49 of 2015 Supp Approp to Unrestrict and Establish Director of Veterans Affairs | 42,052 |

| | |
|---|---------|
| Total Appropriations to Unassigned Fund Balance | 110,978 |
|---|---------|

| | |
|--|------------|
| Estimated General Fund Unassigned Fund Balance to date | 31,773,519 |
|--|------------|

Summary

| | |
|--|------------|
| Total Budgeted General Fund Expenditures and Transfers | 95,980,897 |
| Less: Pass Thru Grants Originally Budgeted in the General Fund | (151,000) |
| Net Budgeted General Fund Expenditures and Transfers | 95,829,897 |

| | |
|--|------------|
| One Month's Expenditures and Transfers | 7,985,825 |
| Two Month's Expenditures and Transfers | 15,971,650 |

| | |
|---|------------|
| Difference between Estimated GF Unassigned Fund Balance and One Month of Expend & Transfers | 23,787,694 |
|---|------------|

| | |
|--|------------|
| Difference between Estimated GF Unassigned Fund Balance and Two Months of Expend & Transfers | 15,801,870 |
|--|------------|

County of Erie General Fund
 Monthly Reconciliation of
 Changes to Fund Balance Appropriated
 001-000990-099500
 As of July 1, 2015

| | |
|--|------------------|
| Appropriations from Fund Balance per Excel | (216,402) |
| Appropriations to Fund Balance per Excel | 110,978 |
| Net Appropriations per Excel | <u>(105,424)</u> |

Less: Pending Ordinances for Council Approval

| | |
|---|--------|
| Ordinance# of 2015 Supp Approp for Additional Temporary Staff for E | 11,451 |
| Ordinance# of 2015 Supp Approp Correction of OCY Ordinance #71 | 203 |

| | |
|------------------------------|---------------|
| Total Net Pending Ordinances | <u>11,654</u> |
|------------------------------|---------------|

| | |
|---|-----------------|
| Net change to Fund Balance Appropriated | <u>(93,770)</u> |
|---|-----------------|

| | |
|----------------------|-----------------|
| FMS Budget T Balance | <u>(93,770)</u> |
|----------------------|-----------------|

0

County of Erie General Fund
 Current Budget
 As of July 1, 2015

| DEPT NO | DEPT NAME | ORIGINAL 2015 BUDGET | 2015 BUDGET CHANGES | CURRENT 2015 BUDGET |
|---------|--------------------|----------------------|---------------------|---------------------|
| 001000 | CONCIL & ELECTIONS | 1,395,504 | 22,369 | 1,417,873 |
| 001100 | COUNTY CONTROLLER | 342,060 | | 342,060 |
| 001990 | COUNTY EXECUTIVE | 261,251 | 1,000 | 262,251 |
| 002010 | COMM REL ECON DEV | 1,052,804 | (66,251) | 986,553 |
| 002100 | COUNTY SOLICITOR | 162,629 | | 162,629 |
| 002190 | PERSONNEL | 302,722 | | 302,722 |
| 002300 | FINANCE | 3,945,441 | 46,527 | 3,991,968 |
| 002400 | ADMIN/ OPERATIONS | 5,408,822 | 24,597 | 5,433,419 |
| 002601 | HUMAN SRVC SHARED | 76,532 | | 76,532 |
| 002700 | OTH GEN GOVT | 8,770,139 | 65,000 | 8,835,139 |
| 002810 | COUNTY TECH DEPT | 2,043,399 | | 2,043,399 |
| 003000 | COURTS | 5,230,680 | (29,897) | 5,200,783 |
| 003100 | MDJ'S | 2,797,090 | 31,765 | 2,828,855 |
| 003200 | CLK RECORD | 2,813,419 | (4,210) | 2,809,209 |
| 003290 | SHERIFF | 3,145,193 | | 3,145,193 |
| 003390 | DISTRICT ATTY | 3,070,760 | 82,220 | 3,152,980 |
| 003500 | CORONER | 663,932 | | 663,932 |
| 003600 | PUBLIC DEFENDER | 1,450,275 | 8,557 | 1,458,832 |
| 004000 | ADULT PROBATION | 5,285,162 | 32,234 | 5,317,396 |
| 004100 | CORRECTIONS | 17,461,177 | | 17,461,177 |
| 004190 | JUVENILE PROBATION | 3,295,709 | | 3,295,709 |
| 005200 | VETERANS AFFAIR | 303,636 | (42,052) | 261,584 |
| 009000 | GRANTS TO ORGANZ | 151,000 | | 151,000 |
| 020000 | TRANSFERS | 26,551,561 | 81,290 | 26,632,851 |
| | Total | 95,980,897 | 253,149 | 96,234,046 |

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| County of Erie Comparison of Change in Monthly General Fund Fund Balance Appropriated for the Years 2015 and 2014 |
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Change in appropriated General Fund fund balance as follows:

| Month | 2015 | 2014 |
|--|----------|-----------|
| January | (4,797) | 10,012 |
| February | 18,406 | (99,797) |
| March | 16,376 | 787,236 |
| April | (38,277) | (45,988) |
| May | (16,188) | (110,372) |
| June | (69,290) | (1,083) |
| July | | (56,301) |
| August | | (15,733) |
| September | | (5,231) |
| October | | (85,855) |
| November | | (600,000) |
| December | | (469,035) |
| Total Change in Appropriated Fund Balance Year to Date | (93,770) | (692,147) |