



## 11.0 Funding Sources

Public and private commitment will be needed to implement the Plan. The funding sources identified in this section are applicable to a range of projects including tourism, historic preservation, recreation and economic development. The matrix below lists potential sources for funding and technical assistance to support implementation of projects in the Seaway Trail. This list should be considered advisory in character – while every effort has been made to ensure these sources are up-to-date, funding opportunities and strategies can change and the priorities of grant providers can change. Where available, web addresses are included for additional research.

Program	Sponsor	Funded Activities	Funding amounts (if known)	Match required?	Site	Source	Notes
<b>Heritage Training, Community Capacity Building</b>							
<b>Heritage Tourism Cooperative Marketing Grants</b>	Department of Community and Economic Development (DCED)	Marketing and promotion alliances	Varies		inventpa.com	State	Only available to not-for-profit organizations
<b>Pennsylvania Heritage Parks Program</b>	Department of Conservation and Natural Resources (DCNR)	Various planning and implementation type projects	Varies	Varies	dcnr.state.pa.us/brc/heritageparks/	State	Funds six types of projects
<b>Community Decision Making Programs</b>	Orton Family Foundation	Community Viz software, community mapping, planning programs, video programs	Costs to participants involved for programs and materials but in some cases, majority of costs underwritten by the Foundation		orton.org	Operating profits of the Vermont Country Store	Focus of Foundation is managing change and preserving the way of life in rural, small-town America



<b>Share Your Heritage</b>	Partners in Tourism	Brings together invited group of leaders to develop sustainable heritage tourism strategies	Workshops offered competitively; no cash grant award involved	\$15,000 investment by applicant	nasaa-arts.org	National Endowment for the Arts, USDA Rural Development, National Trust for Historic Preservation	Two collections of case studies have been published and may be purchased while supplies last, see nthp.org for details
<b>Special Districts and Zones</b>							
<b>New Communities-Enterprise Zone Program</b>	DCED	Grants and loans for business expansion in areas designated as financially distressed and disadvantaged	Planning grants -up to \$50,000; basic grants - up to \$50,000 /yr for a maximum of 7 yrs with additional \$25,000 for 2 yrs; competitive grants to loans up to \$500,000	Yes	newpa.com	State	Designation also provides access to other funding sources such as PIDA/MELF/SBF interest rates, tax credits for real property improvements, SERB status when bidding on state contracts, Act II funding, and State Liquor License availability
<b>Keystone Innovation Zone</b>	DCED	Tax credits and grants to designated zones that may be established in communities that host institutions of higher education	Access to \$250,000 in operation grants, \$25,000 grants to seed planning activity, and a pool of tax credits		newpa.com		Applications accepted 2004-2007 Tax Credit will be available beginning in the 2006 tax year
<b>Keystone Opportunity Zone</b>	DCED and Department of Revenue (DOR)	Tax abatement within specific underdeveloped and underutilized areas to attract private and residential investment	N/A	N/A	koz.inventpa.com		Erie County is located in the nine-county Northwest Zone, which offers over 4,000 acres of industrial land. A KOZ subzone is located in the City of Erie
<b>Tax Increment Financing Guarantee Program</b>	DCED	Loan guarantee to generate economic growth and redevelop brownfields, revitalize downtowns and otherwise strengthen existing communities	Guarantees TIF financings up to \$5,000,000 per project	No	newpa.com	Increased tax revenues resulting from public improvements	



Loans, Loan Guarantees and Tax Credits							
<b>Historic Preservation Tax Credits</b>	National Park Service	Rehabilitation and renovation of income-producing properties listed on the National Register of Historic Places or contributing to a National Register District	N/A	N/A	nps.gov	US	Projects of scale with ineligible owners can syndicate and sell shares in the credit to eligible investors
<b>Expanded Research and Development Tax Credit</b>	DCED	New research and development activities	Tradable tax credit of 10% of increased research and development expenses over a base period	N/A	newpa.com	State	
Grants							
Economic Development							
<b>Community Development Block Grants - Entitlement Program</b>	DCED	Grant assistance and technical assistance to aid communities in their community and economic development efforts	Set by formula (70% of each grant must be used for activities that benefit low- and moderate-income persons)		inventpa.com	US Housing and Urban Development (HUD)	Provides annual funding to 27 third-class cities, 127 boroughs and townships, and 54 counties in Pennsylvania
<b>Community Development Block Grants - Competitive Program</b>	DCED	Grant assistance and technical assistance to aid communities in their community and economic development efforts	Up to \$500,000 (70% of each grant must be used for activities that benefit low- and moderate-income persons)		inventpa.com	HUD	Available to all non-federal entitlement municipalities in Pennsylvania
<b>New Communities - Main Street Program</b>	DCED	Downtown economic development effort	Varies, planning grants up to \$25,000, administrative grants-\$175,000 over 5 years, \$100,000 design /façade improvements, \$60,000 program exit	Yes - 10% of grant	newpa.com	State	Five-year strategy is required for all designated Main Street Communities, Affiliates, and Achievers. This strategy must be based on the Four Point Main Street approach for improving the traditional business district.



<b>New Communities - Elm Street Program</b>	DCED	Clean up of streetscapes, restoration of building facades, and building connections between downtown business districts and surrounding neighborhoods	Varies, planning grants up to \$25,000, operational grants-up to \$200,000 over 4 years, residential reinvestment grants-\$50,000 to \$250,000.	Yes - 10% of grant	newpa.com	State	Five-year strategy is required for all designated Elm Street Communities
<b>New PA Venture Capital Investment Program</b>	DCED	Loans to venture capital partnerships that invest in companies located in the Commonwealth	N/A	1:01	newpa.com		The Program is a \$60 million fund. Partnerships can begin to apply in summer 2004
<b>New PA Venture Guarantee Program</b>	DCED	Guarantees up to a total of \$250 million on the first losses of equity investments	N/A	Investment more than \$15 million	newpa.com	State	
<b>Second Stage Loan Program</b>	Commonwealth Financing Authority (CFA)	Loan guarantees for life science, advanced technology and manufacturing businesses that need working capital from banks	N/A	N/A	newpa.com	State	Only available to businesses that are at least two years old but less than eight years old
<b>Section 108 HUD Loan Pool</b>	DCED	Loan guarantees to benefit low-and-moderate-income persons; prevent or eliminate slums or blight; or meet other urgent community development needs	N/A	N/A	newpa.com	HUD	Application process is being developed
<b>Core Industries</b>	DCED	Revolving loan funds for acquisition and installation of machinery and equipment	Maximum loan amount-\$5 million		newpa.com	State	Program available to manufacturing, agricultural production and processing, information technology and biotechnology companies and licensed hospitals
<b>Business in Our Sites Program</b>	CFA	Construction loans and grants to develop shovel-ready sites to accommodate expanding businesses	Varies		newpa.com	Issuance of Bonds	Grants provided for feasibility studies



<b>Building PA</b>	CFA and DCED	Capital for developers to redevelop and revitalize real estate assets in small to mid-sized communities			newpa.com	State	Funds will address populations that have been historically underserved
<b>US Economic Development Administration</b>	US Department of Commerce	Planning and technical assistance, public works and job development, economic adjustment	Varies	Varies	eda.gov	Federal appropriations, some cross-agency appropriations	Most projects directed to areas of severe economic distress; communities and regions should complete Comprehensive Economic Development Strategies (CEDs) for eligibility; local HQ in Phila., Pa.
<b>USDA Rural Development Programs</b>	USDA	Guaranteed Loan Program, Intermediary Re-lending Program, Business Enterprise and Business Opportunity and others	Varies, seek assistance from USDA technical staff	Depends on program	rurdev.usda.gov	USDA	Rural Empowerment Zone, Enterprise Communities and Champion Communities score extra in competitive funding rounds -- local office located in Meadville
<b>Preservation</b>							
<b>Keystone Historic Preservation Grant Program</b>	Pennsylvania Historical and Museum Commission (PHMC)	Preservation, Restoration, and Rehabilitation	\$5,000 to \$100,000	1:01	phmc.state.pa.us	Keystone Recreation, Park and Conservation Fund	
<b>Certified Local Government Program</b>	PHMC	Several	\$3,000 to \$25,000	Yes	phmc.state.pa.us	National Park Service (NPS)	Only available to CLGs
<b>Pennsylvania History and Museum Grant Program</b>	PHMC	Museum, history, archives and historic preservation projects, as well as nonprofit organizations and local governments	Varies	Varies	phmc.state.pa.us	PHMC	No match required for grants up to \$5,000. Ten types of grants are available
<b>Rehabilitation Investment Tax Credit</b>	PHMC and NPS	Historic preservation projects	20% for historic buildings and 10% for non-residential, non-historic buildings built before 1936		artsnet.org/phmc/programs.htm	NPS	Available to owners and holders of certain long term leases of income-producing properties that are listed on the National Register of Historic Places



<b>Charitable Contribution Deduction</b>	PHMC and NPS	Conservation easement that enables the owner of a "certified historic structure" to take a one-time tax deduction			artsnet.org/phmc/programs.htm	NPS	Involves the preservation of a building's façade by restricting the right to alter its appearance
<b>Save America's Treasures</b>	NPS	Preservation, conservation of nationally significant intellectual and cultural artifacts and nationally significant historic structures and sites	Minimum request for collections, \$50,000; for structures & sites, \$250,000; maximum is \$1,000,000. In 2003 average award for artifacts was \$172,000; for structures & sites, \$268,000	1:01	nps.gov/treasures	Federal appropriation	
<b>Interpretation</b>							
<b>Implementation grants for special projects</b>	National Endowment for the Humanities (NEH)	Projects generally include combinations of programming taking place at diverse venues and including diverse formats	\$300,000	60:40 -- may vary	neh.gov	National Endowment for the Humanities	Non-profit organizations, state and local governments are eligible
<b>Planning grants for museums, libraries &amp; special projects</b>	NEH	Interpretive exhibits, historic site interpretation, catalogs, symposia, web sites	\$40,000 cap	60:40:00	neh.gov	National Endowment for the Humanities	Non-profit organizations, state and local governments are eligible
<b>Consultation grants for museums, libraries &amp; special projects</b>	National Endowment for the Humanities	Interpretive exhibits, historic site interpretation, catalogs, symposia, web sites	\$10,000 cap	No	neh.gov	National Endowment for the Humanities	Non-profit organizations, state and local governments are eligible
<b>Environment, Transportation</b>							
<b>Community Conservation Partnerships Program - Growing Greener Grants</b>	DCNR	Parks, recreation and conservation projects	Varies	Yes	dcnr.state.pa.us/brc/grants/	Growing Greener funds	Includes five grant programs



<b>Pennsylvania Recreational Trails Program</b>	DCNR	Recreational trails and trail related facilities for motorized and non-motorized recreational trail use	N/A	Yes	dcnr.state.pa.us/brc/grants/	FHWA and TEA 21	
<b>Environmental Education Grants</b>	Pennsylvania Department of Environmental Protection (PA DEP)	Environmental education programs with a strong Internet-technology emphasis	\$1,000 to \$20,000	Varies	dep.state.pa.us/dep/deputate/enved/EnviroEd/EnviroEdGrantsPro.htm	State	Available to public and private schools, county conservation districts and nonprofit groups with an environment/conservation mission
<b>Pennsylvania Rivers Conservation Program</b>	DCNR	Technical and financial assistance for planning, implementation, acquisition and development activities	Minimum of \$2,500	1:01	dcnr.state.pa.us/brc/rivers/riversconservation/	Keystone Recreation, Park and Conservation Fund	Available to municipalities and river support groups having 501 (c)(3)
<b>Coldwater Heritage Partnership Grants</b>	DCNR, the Pennsylvania Fish and Boat Commission (PFBC) and the Pennsylvania Council of Trout Unlimited (TU)	Preliminary watershed assessments of coldwater ecosystems	Up to \$5,000		dcnr.state.pa.us/brc/rivers/coldwaterheritage.aspx	PFBC, DCNR, TU and the Howard Heinz Endowment	
<b>River, Trails and Conservation Assistance Program</b>	NPS	Technical assistance to conserve rivers, preserve open space, and develop trails and greenways	N/A	N/A	nps.gov/nrcr/programs/rtca/contactus/cu_apply.html		Does not provide financial assistance only technical assistance to community groups and local and State governments
<b>Home Town Streets and Safe Routes to School Program</b>	Pennsylvania Department of Transportation (PENNDOT)	Streetscape improvements in downtown areas and projects for bicyclists and pedestrians that improve transportation system		Yes	dot.state.pa.us/Pennndot/Bureaus/CPDM/Prod/Saferoute.nsf		A federal cost reimbursement program.
<b>Transportation Enhancement Program</b>	PENNDOT	Transportation need, use or benefit		Yes	dot.state.pa.us	Federal Highway Administration (FHWA)	Projects must also be included on an approved Transportation Improvement Program (TIP)
<b>Pennsylvania Infrastructure Bank</b>	PENNDOT	Revolving loan fund for eligible highway, transit, and bridge projects	Varies		dot.state.pa.us/	PENNDOT and the U.S. Department of Transportation	Projects must be otherwise eligible for state or federal funding



<b>Pennsylvania Coastal Zone Management Program</b>	PA DEP	Grants and technical support for projects that protect, conserve and enhance coastal resources	N/A		dep.state.pa.us/river/czmp	National Oceanic and Atmospheric Administration (NOAA)	Provides funds to local governments, state agencies and nonprofit organizations for improvement projects in the Lake Erie and Delaware Estuary coastal zones.
<b>National Scenic Byways Program Grant</b>	FHWA	Grant to establish a State scenic byway program	Varies	Varies	www.bywaysonline.org/grants/	FHWA and TEA-21	
<b>USDA Rural Development Programs</b>	USDA	Loans, grants, loan/grant combinations, loan guarantees for water and waste water disposal and solid waste management	Varies depending on program		urdev.usda.gov	USDA	Generally limited to communities under 10,000 population; technical assistance included
<b>US Army Corps of Engineers</b>	Most projects originate in Congressional Delegation	Shore protection, flood control, ecosystem restoration, recreation, navigable waterway improvements, community facilities, etc.	Varies	Depends on project; some programs have cost sharing requirements	usace.army.mil	Federal appropriation	Most projects commence with a reconnaissance report, followed by a feasibility analysis
<b>US EPA Small Grants Program</b>	EPA	Local community-based organizations to examine issues related to exposure to environmental harm and risk	Up to \$25,000		epa.gov	Federal appropriation	Research projects funded with the intention of dissemination to community
<b>USDA Rural Development Programs</b>	USDA	Loans, grants, loan/grant combinations, loan guarantees for a variety of housing initiatives including ownership, rental, purchase and repair	Varies, seek assistance from USDA technical staff	Depends on program	urdev.usda.gov	USDA	
<b>Watershed Action Grants</b>	Conservation Fund	Watershed protection, improvement, promotion of watershed stewardship	\$5,000 average	Not required	conservationfund.org	Conservation Fund	Match not required but leverage with other sources encouraged; program must take place in a year
<b>Banrock Station Wines Wetland Conservation Fund</b>	Banrock Station Wines	Educate, inspire action, create partnerships for wetlands conservation	\$1,000-\$5,000		conservationfund.org	Conservation Fund with corporate sponsor	Program inaugurated in 2003



		and restoration					
<b>Green Building Initiative</b>	Kresge Foundation	Planning for construction of environmentally sustainable new facilities, including development and evaluation of building plan, site evaluation, material analysis, etc.	\$50,000 - \$100,000	Cash, staff and board time, institutional resources as required	kresge.org	Endowed family foundation	Workshops on green building concepts and methods offered by the Nonprofit Finance Fund
<b>Kodak American Greenways Awards</b>	Eastman Kodak Co.	Provides grants for planning and design of greenways	Up to \$2,500; most grants are \$500 to \$1,500		conservationfund.org	Conservation Fund and Corporate Endowment	
<b>Agriculture/Farm Land Protection</b>							
<b>First Industries Fund - Agriculture Expansion Fund</b>	DCED	Planning grants, loans and loan guarantees to farmers, business entities involved in production agriculture, farmer co-ops, and urban and rural supermarkets			newpa.com	State	
<b>Agricultural Product Promotion Matching Grant</b>	Pennsylvania Department of Agriculture (PDA)	Agricultural promotion and marketing	Minimum of \$1,000	1:01	agriculture.state.pa.us/agriculture/site/default.asp	State	Available to nonprofit agricultural product promotion or marketing organizations
<b>Agricultural Rural and Youth Grant Program</b>	PDA	Increases knowledge and awareness of agricultural and rural issues among youth	Maximum of \$10,000 matching grant; direct grant up to \$2,500		agriculture.state.pa.us/agriculture/site/default.asp	State	Available to organizations comprised of persons eighteen (18) years of age or younger and that are organized to promote development of agriculture, rural community leadership, vocational training or peer fellowship
<b>Pennsylvania Agricultural Conservation Easement Purchase Program</b>	PDA	Enables state, county and local governments to purchase conservation easements from owners of quality farmland.			agriculture.state.pa.us/agriculture/site/default.asp	State	Farms must be part of an Agricultural Security Areas (ASAs)



Conservation Reserve Program	United States Department of Agriculture (USDA)	Farmers can receive annual rental payments (10-15 year conservation contracts) for planting permanent vegetation on idle, highly erodible farmland.		N/A	fsa.usda.gov/dafp/cepd/crp.htm		
Conservation Reserve Enhancement Program	USDA	Farmers can receive annual rental payments and cost-share assistance to establish long-term, resource-conserving covers on eligible land.		N/A	fsa.usda.gov/dafp/cepd/crep.htm		
<b>Miscellaneous</b>							
First Industries Fund - Tourism Development Fund	DCED	Planning grants, loans and loan guarantees to businesses involved in destination tourism, hospitality and other tourism-oriented services, and nonprofit entities that promote regional and local tourism	N/A	N/A	newpa.com	State	
Bricks and Mortar Challenge Grants	Kresge Foundation	Construction or renovation of facilities, major equipment including computers of at least \$300,000, real estate purchase	Varies, \$150,000 to \$600,000 depending on the campaign	Yes, Kresge is usually a fifth to a third of campaign's total	kresge.org	Endowed family foundation	Program is designed to build facilities and encourage private giving.
NEH Challenge Grants	National Endowment for the Humanities	Long-term improvements and support for humanities programs and resources	Minimum grant, \$30,000; maximum \$1,000,000	3:1 for first grant; 4:1 for subsequent grants	neh.fed.us	National Endowment for the Humanities	Most grants used to establish or add to endowments assuring longevity of the grantee; usually not more than 10% of grant is allowed for direct expenditures
Skateboard parks	Tony Hawk Foundation	Grants for construction of public skateboard parks in low-income areas throughout the US	\$1,000 to \$25,000		tonyhawkfoundation.org	Corporate program	Most grants are \$1,000; technical assistance available